

# *Gravitational waves from an axion cloud around a rotating black hole*

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JCAP06 (2023) 016 and ongoing work

also old works with Hideo Kodama (YITP)

“Gravitational wave probes of physics beyond standard model”  
@ Osaka Metropolitan University (November 9, 2023)

# CONTENTS

- Introduction
- Adiabatic evolution of axion cloud
- Brief discussion on gravitational waves
- Summary

# Introduction

## Axion field (Sine-Gordon field)

QCD axion

String axion

Strong CP problem in QCD

$$\mathcal{L}_{\text{QCD}} = \bar{Q}_i (i\gamma^\mu D_\mu - m_{ij}) Q_j - \frac{1}{4} G_{\mu\nu}^a G^{a\mu\nu} + \frac{g^2 \theta}{32\pi^2} G_{\mu\nu}^a \tilde{G}^{a\mu\nu}$$

from experiment

$$|\theta| \lesssim 10^{-9}$$

CP-violating term

Peccei-Quinn theory



# Axion field (Sine-Gordon field)

QCD axion

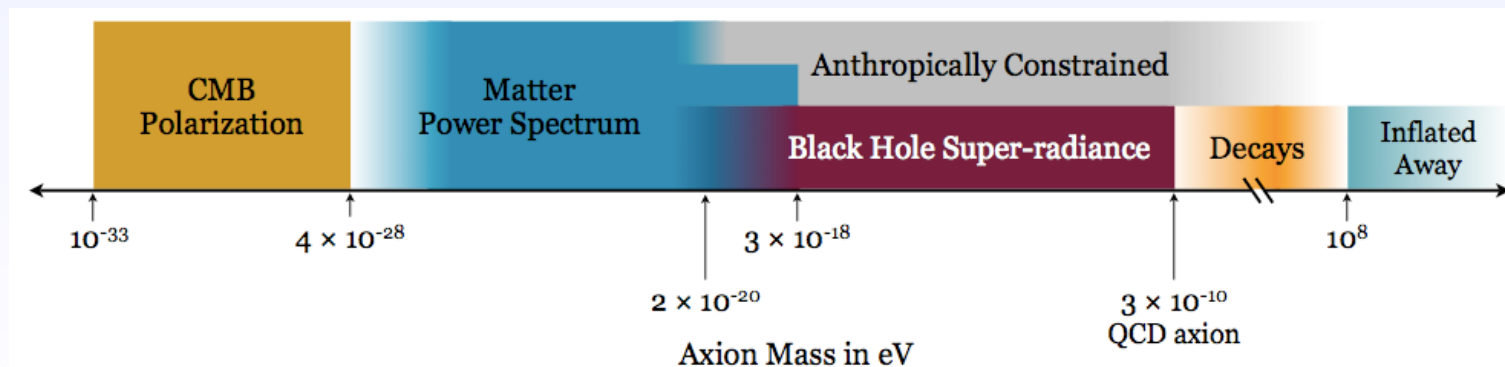
String axion

$$\nabla^2 \varphi - \mu^2 \sin \varphi = 0$$

Arvanitaki, Dimopoulos, Dubvsky, Kaloper, March-Russel,  
PRD81 (2010), 123530.

In string theory, many moduli appear when the extra dimensions get compactified.

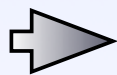
Some of them (10-100) are expected to behave like scalar fields with very tiny mass, which are called string axions.



## Axion field (Sine-Gordon field)

$$\mathcal{L} = -\frac{1}{2} (\nabla_a \Phi \nabla^a \Phi + V(\Phi)) - \frac{1}{4} g_{a\gamma\gamma} \Phi F_{ab}^* F^{ab} + \dots$$

$$V = f_a^2 \mu^2 [1 - \cos(\Phi/f_a)]$$

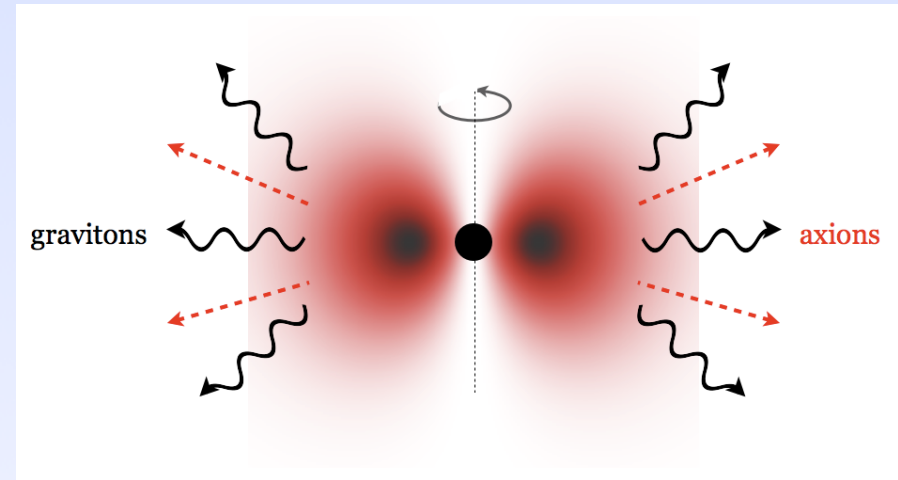


$$\nabla^2 \varphi - \mu^2 \sin \varphi = 0$$

$$\varphi \equiv \frac{\Phi}{f_a}$$

## Issues to be explored

- String axion field forms an axion cloud around a rotating astrophysical BH by extracting BH's rotation energy.



- Superradiant instability
- Nonlinear self-interaction

$$\nabla^2 \varphi - \mu^2 \sin \varphi = 0 \quad \varphi \equiv \frac{\Phi}{f_a}$$

- GW emission
- Long-term evolution of BH parameters

# Kerr BH

## Metric

$$ds^2 = - \left( \frac{\Delta - a^2 \sin^2 \theta}{\Sigma} \right) dt^2 - \frac{2a \sin^2 \theta (r^2 + a^2 - \Delta)}{\Sigma} dt d\phi$$

$$+ \left[ \frac{(r^2 + a^2)^2 - \Delta a^2 \sin^2 \theta}{\Sigma} \right] \sin^2 \theta d\phi^2 + \frac{\Sigma}{\Delta} dr^2 + \Sigma d\theta^2$$

$$\Sigma = r^2 + a^2 \cos^2 \theta,$$

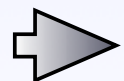
$$\Delta = r^2 + a^2 - 2Mr.$$

$$J = Ma$$

## Ergo region

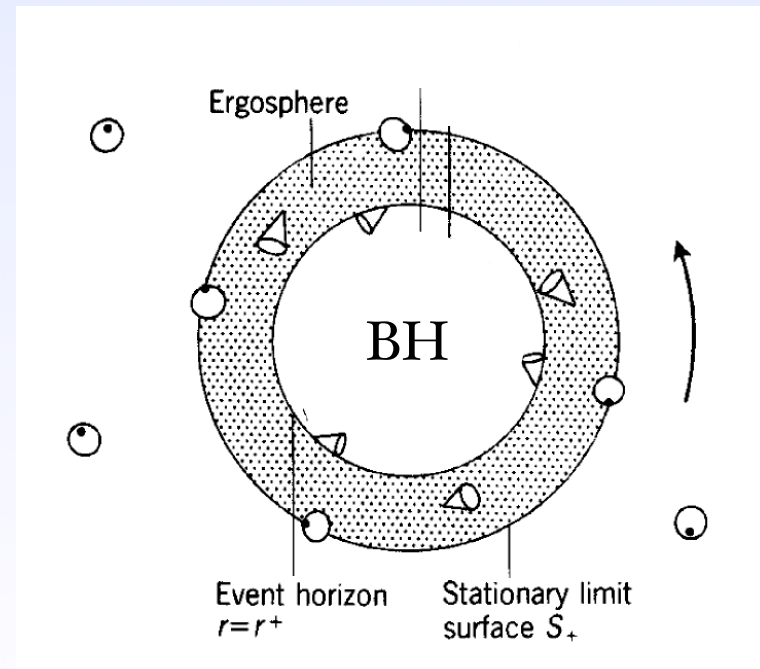
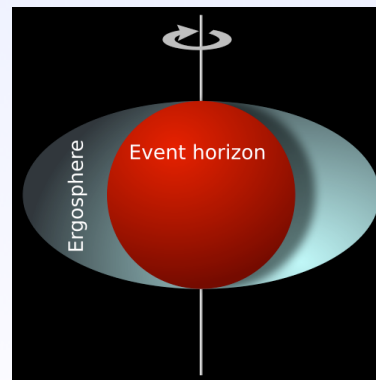
$\xi = \partial_t$  becomes spacelike:

$$\xi_a \xi^a = g_{tt} > 0$$



$$E = -p_a \xi^a$$

can be negative

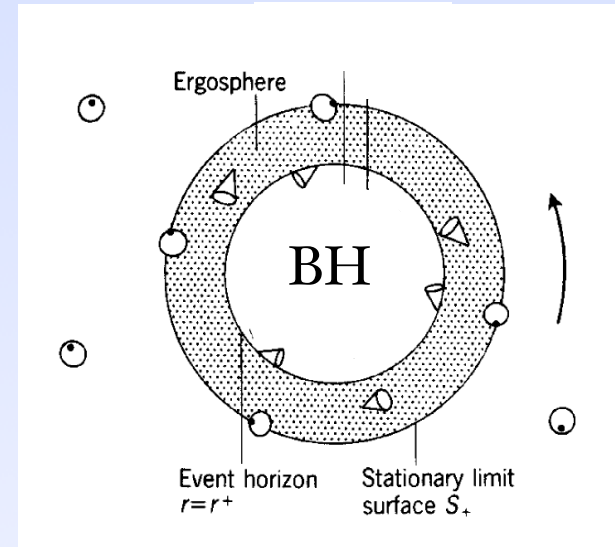


# Energy extraction

- BH's rotational energy

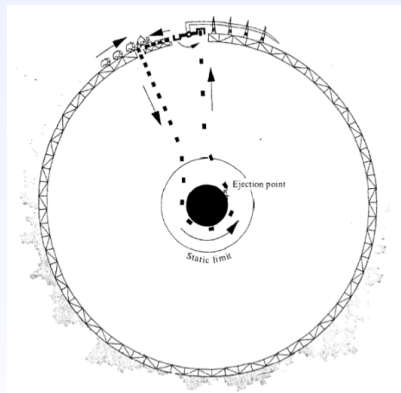
$$M_{\text{rot}} = M - M_{\text{irr}}$$

$$M_{\text{irr}} = \sqrt{\frac{A_H}{16\pi}}$$

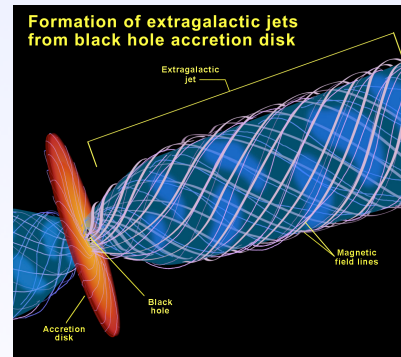


- Various methods of energy extraction

- Penrose process



- Blandford-Znajek process



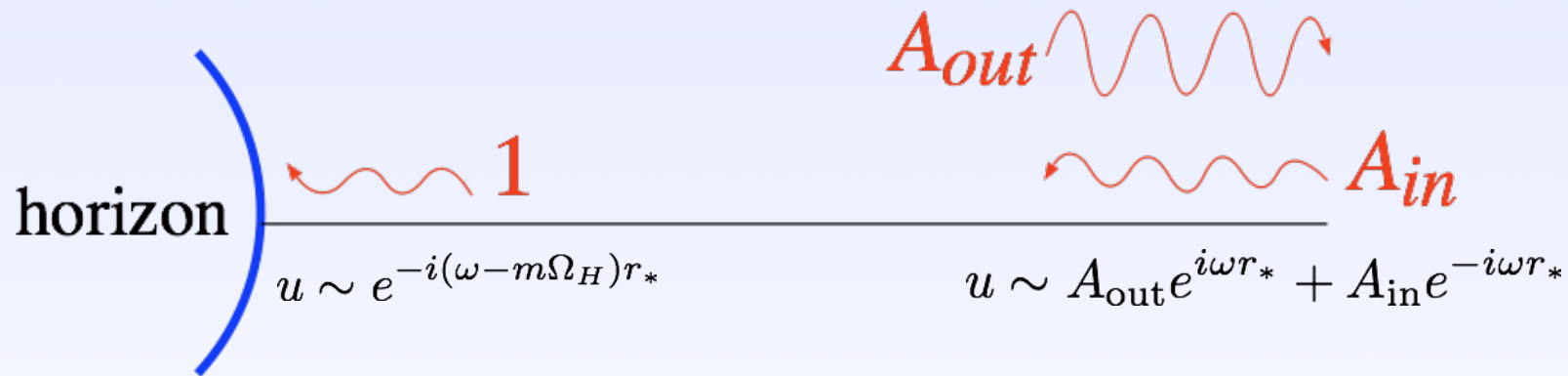
- Superradiance (Next slide)

# Superradiance

Massless Klein-Gordon field  $\nabla^2 \Phi = 0$  Zel'dovich (1971)

$$\Phi = \text{Re}[e^{-i\omega t} R(r) S(\theta) e^{im\phi}]$$

$$R = \frac{u}{\sqrt{r^2 + a^2}} \quad \Rightarrow \quad \frac{d^2 u}{dr_*^2} + [\omega^2 - V(\omega)] u = 0$$



$$\left(1 - \frac{m\Omega_H}{\omega}\right) |T|^2 = 1 - |R|^2$$

Superradiant condition:

$$\omega < \Omega_H m$$

# Gravitational Atom

Massive Klein-Gordon field

$$\nabla^2 \Phi - \mu^2 \Phi = 0$$

$$\Phi = \text{Re}[e^{-i\omega t} R(r) S(\theta) e^{im\phi}]$$

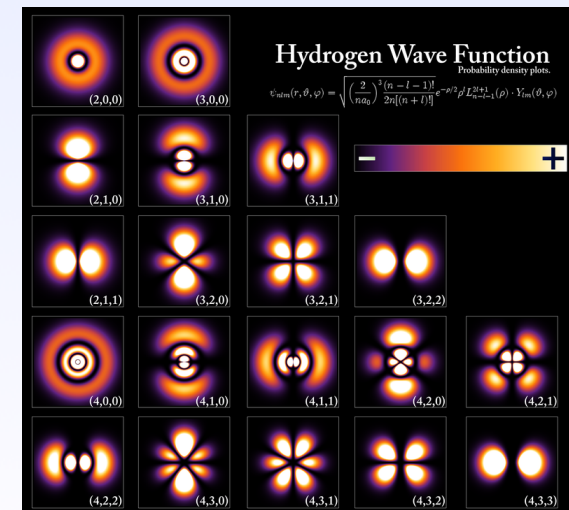
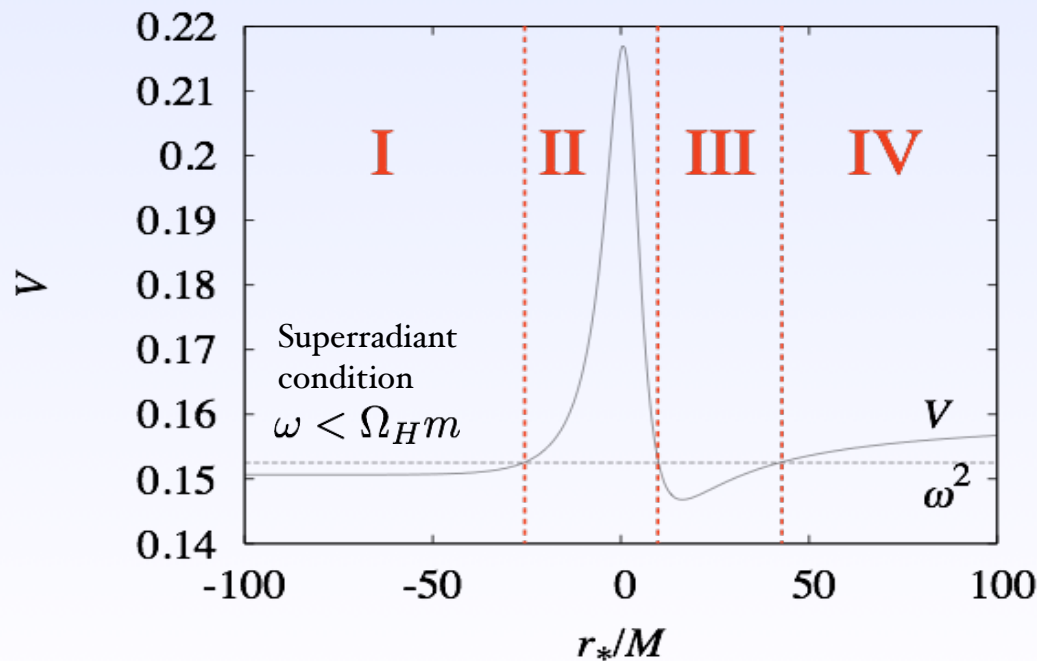
$$R = \frac{u}{\sqrt{r^2 + a^2}}$$

$$\Rightarrow \frac{d^2 u}{dr_*^2} + [\omega^2 - V(\omega)] u = 0$$

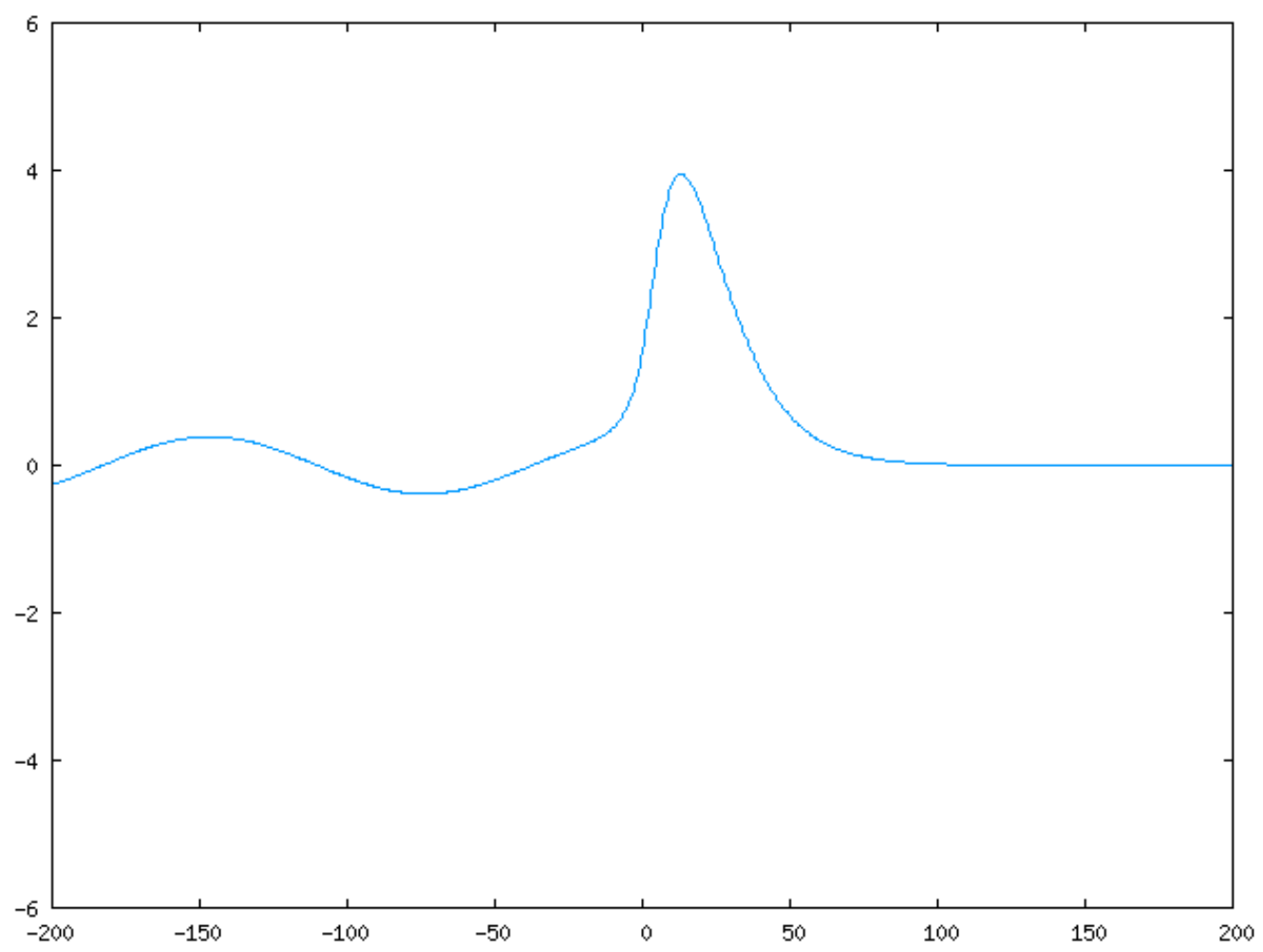
$$\omega = \omega_R + i \omega_I \leftarrow \text{Unstable if positive}$$

Quantum numbers:

$$n, \ell, m$$



# Bound State





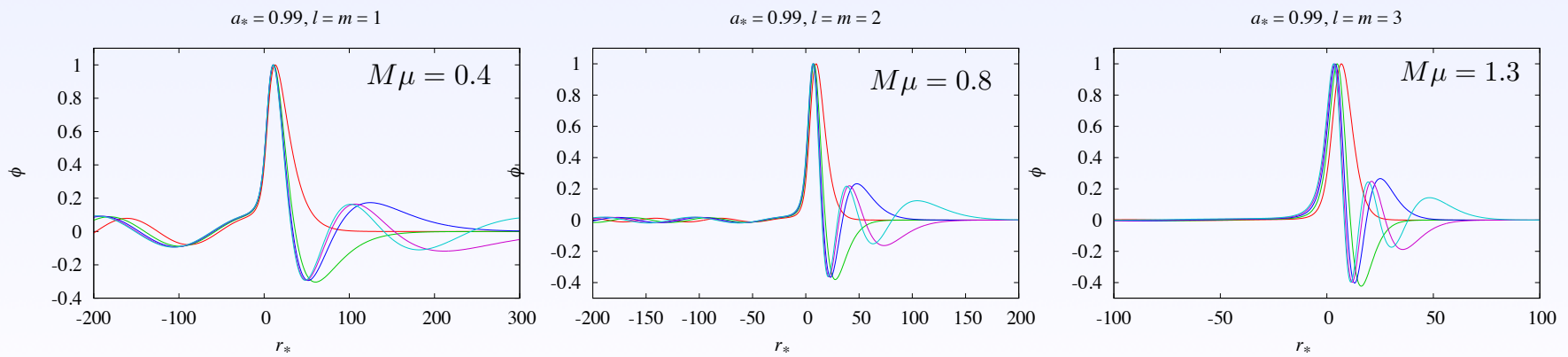
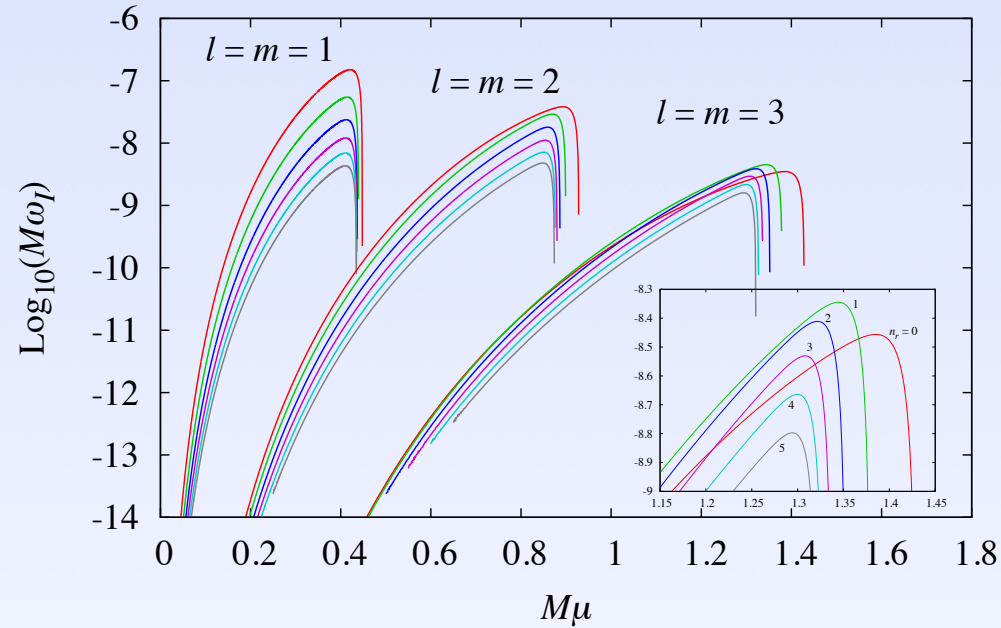
# Wave functions and growth rate

HY and Kodama, arXiv:1505.00714.

Time scale:  $M = M_{\odot}$

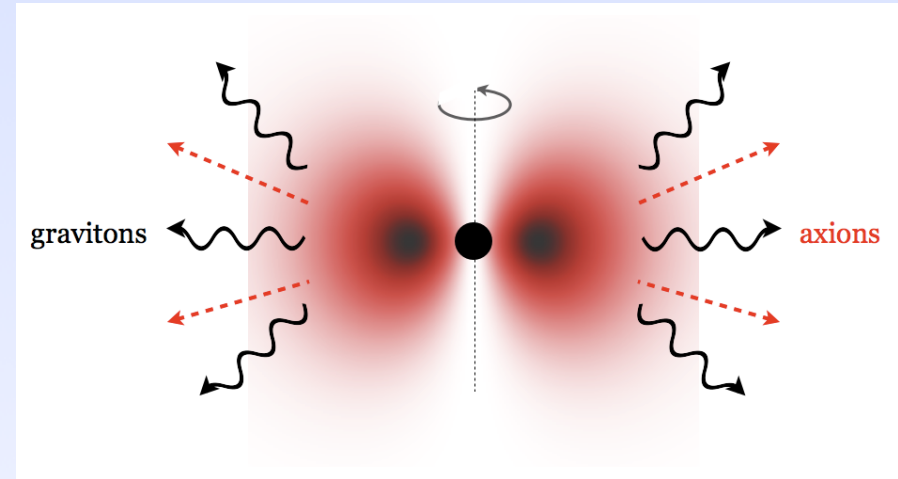
$\omega_I M \sim 10^{-7} \Rightarrow \sim 1 \text{ min.}$

$a_* = 0.99$



## Issues to be explored

- String axion field forms an axion cloud around a rotating astrophysical BH by extracting BH's rotation energy.



- Superradiant instability

- Nonlinear self-interaction

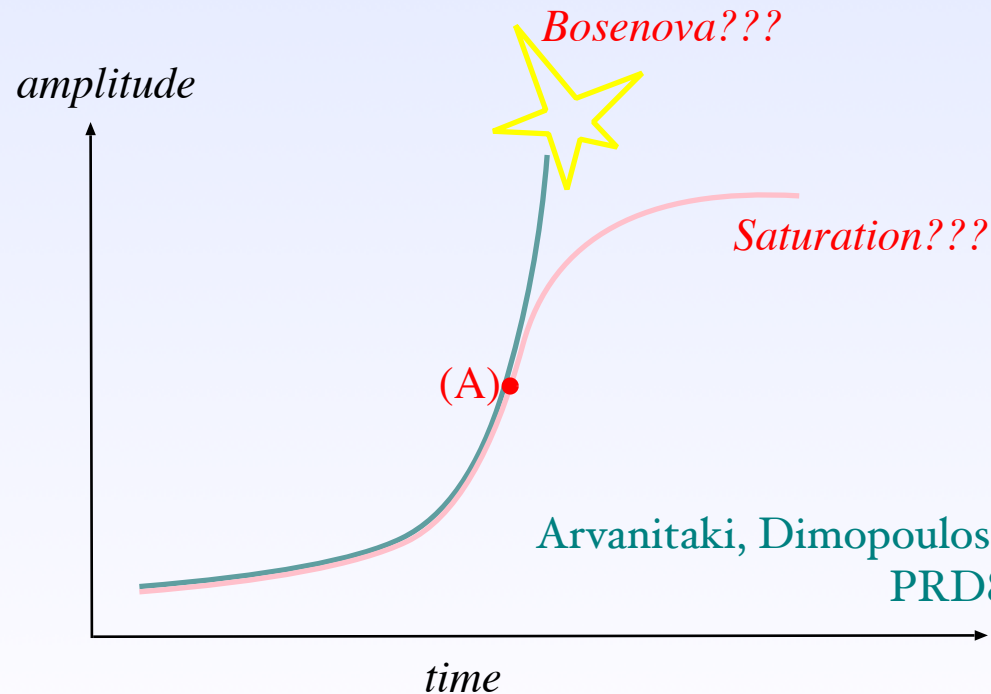
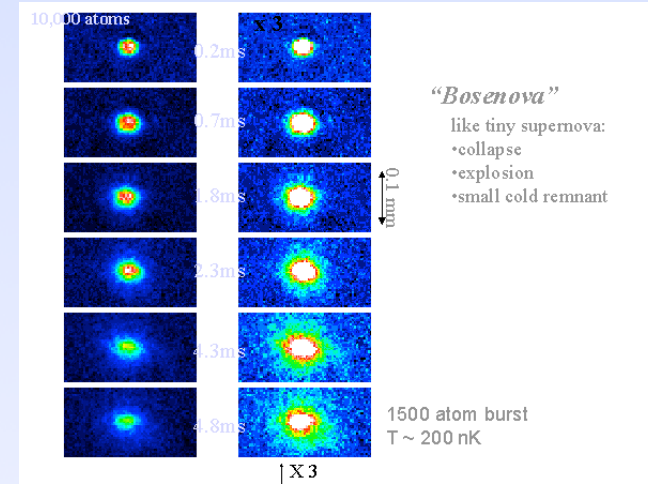
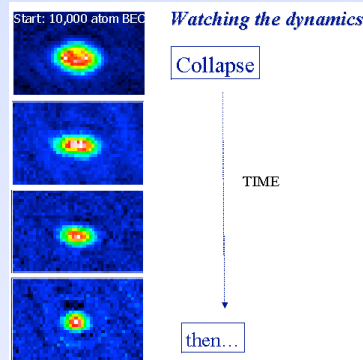
$$\nabla^2 \varphi - \mu^2 \sin \varphi = 0 \quad \varphi \equiv \frac{\Phi}{f_a}$$

- GW emission

- Long-term evolution of BH parameters

# Final state??

Wieman et al., Nature 412 (2001), 295



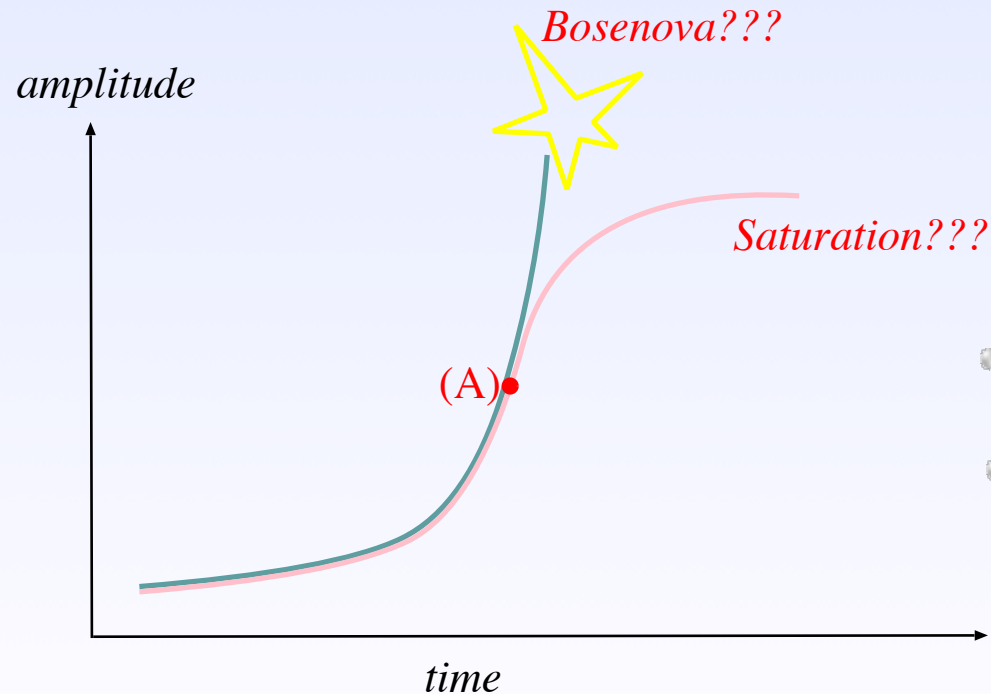
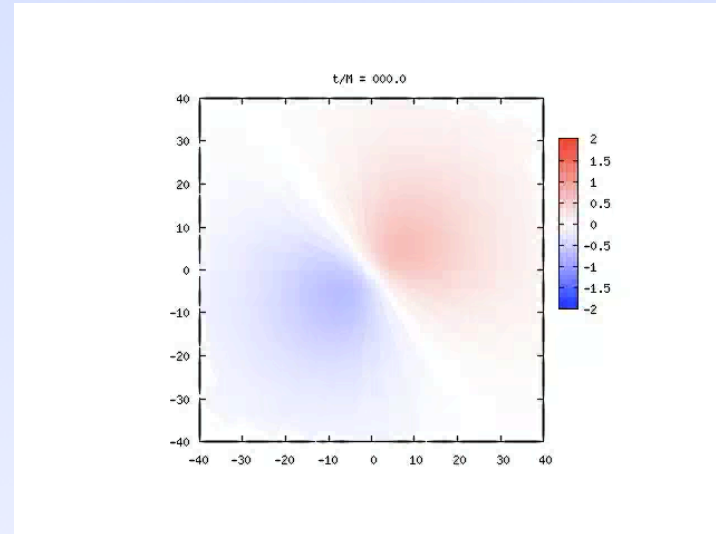
Arvanitaki, Dimopoulos, Dubvosky, Kaloper, March-Russel,  
PRD81 (2010), 123530.

# Final state??

## 3D simulation

HY and Kodama, CQG32, 214001 (2015)

HY and Kodama, PTP128, 153 (2012)

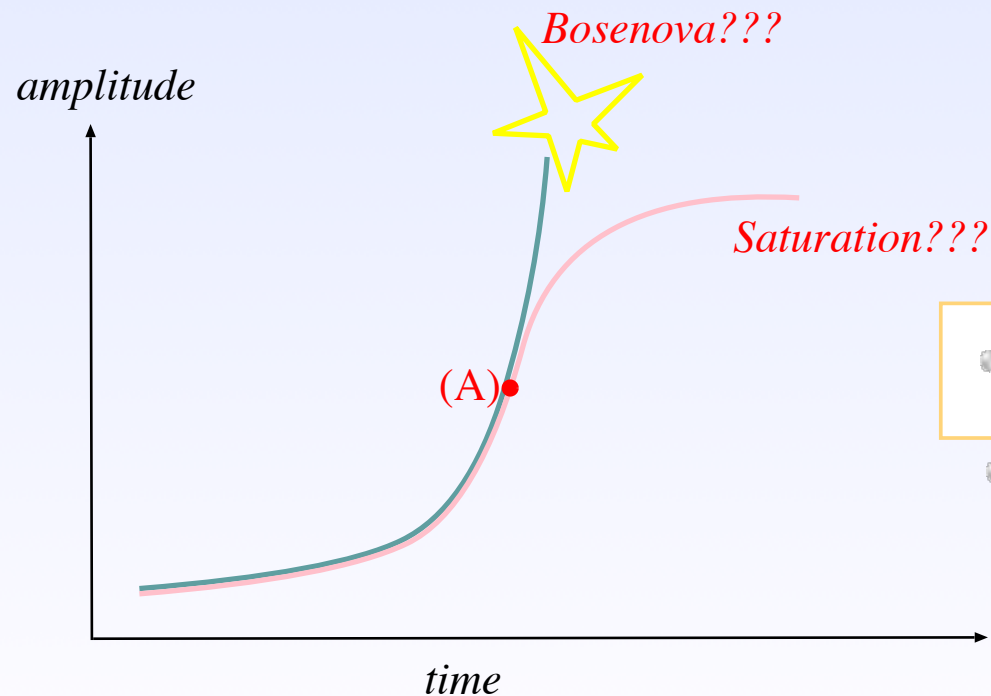
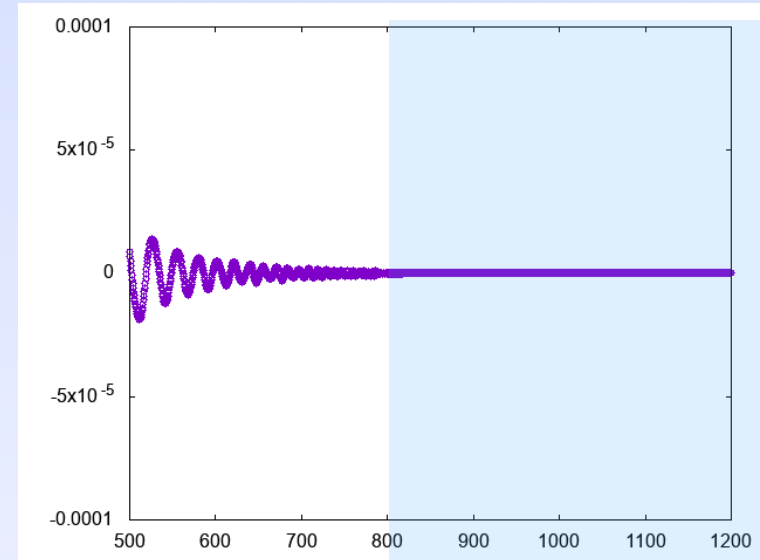


- The outer boundary condition
- Mode interactions

# Final state??

Previously, I imposed the fixed boundary condition at the outer boundary.

A few years ago, I have improved the outer boundary condition

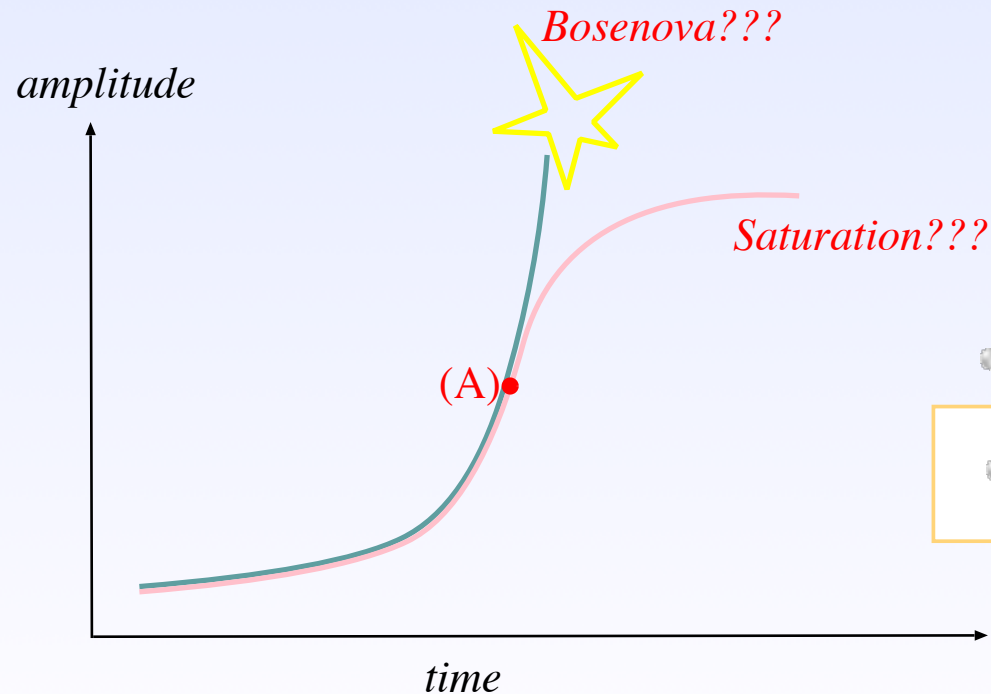


- The outer boundary condition
- Mode interactions

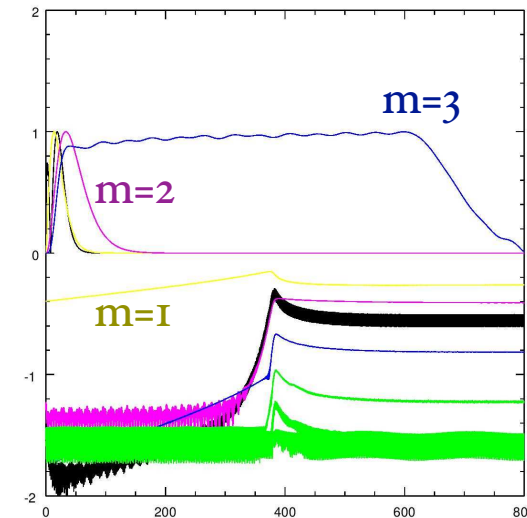
# Final state??

Previously, I killed  $l=m=2, 4, 6, \dots$  modes, in order to save the computation time.

However, it was pointed out that interaction between  $l=m=1$  and 2 modes are very important



Gruzinov, arXiv:1604.06422



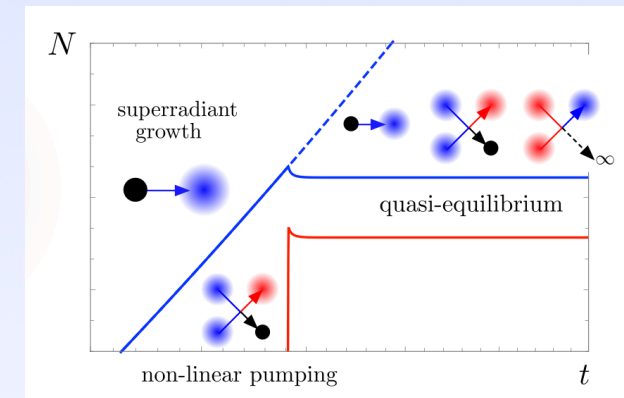
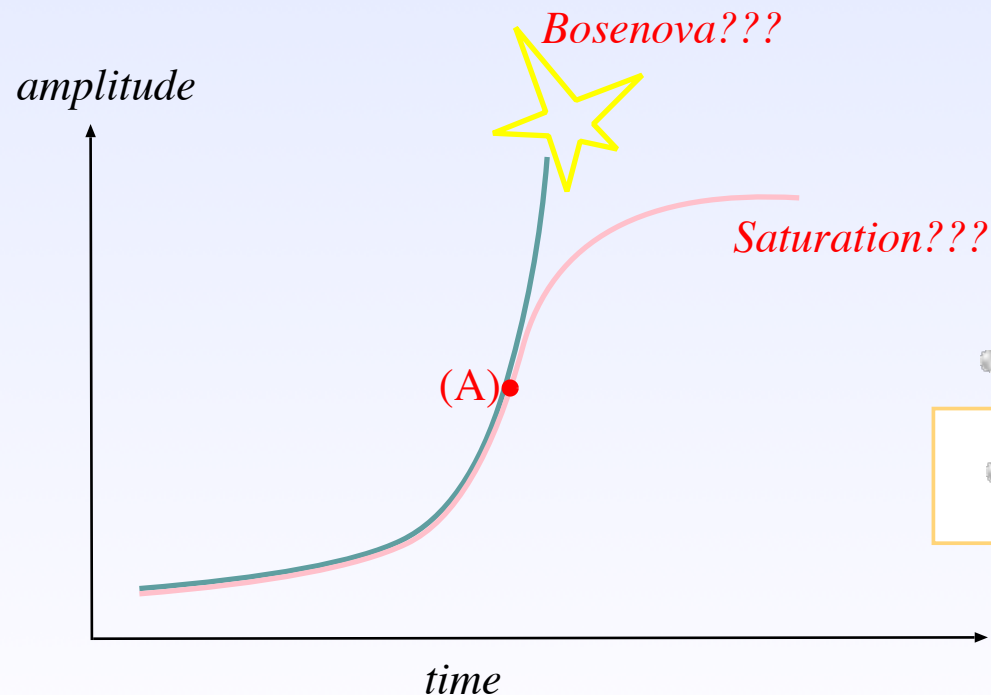
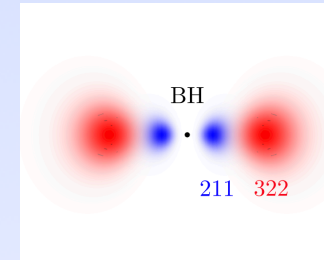
- The outer boundary condition
- Mode interactions

# Final state??

Baryakhtar *et al.*, PRD103  
(2021) 095019

Previously, I killed  $l=m=2, 4, 6, \dots$  modes,  
in order to save the computation time.

However, it was pointed out that  
interaction between  $l=m=1$  and 2 modes  
are very important



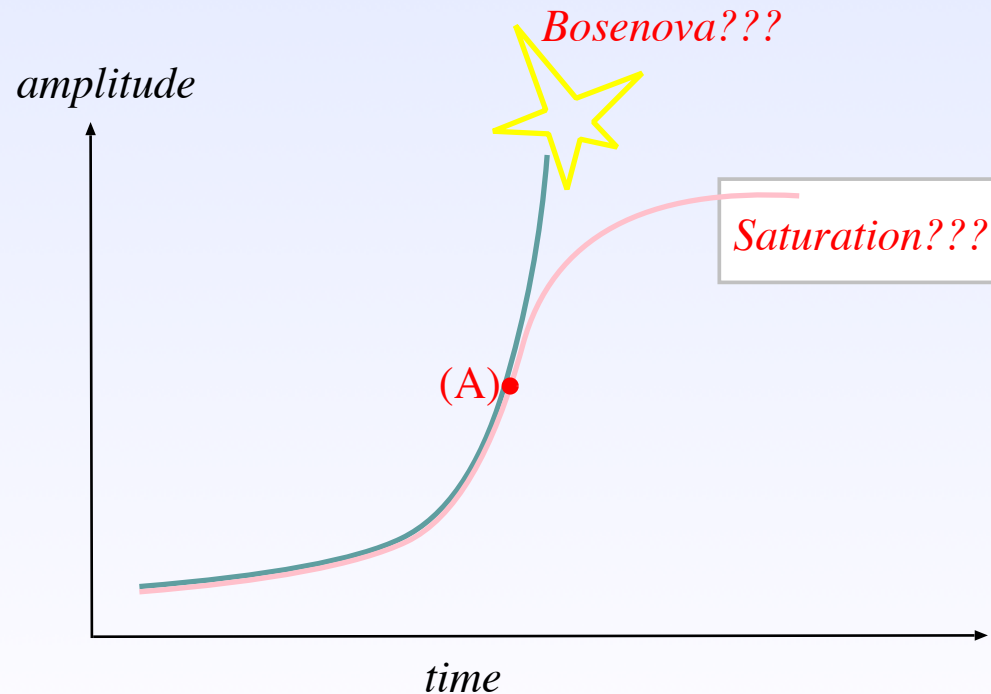
- The outer boundary condition
- Mode interactions

# Final state??

- Determining the final state by numerical simulation is very difficult because the time scale is very long.

➔ Development of the new effective method is necessary.

The method of the adiabatic approximation      JCAPo6 (2023) 016.





# Adiabatic evolution of axion cloud

# Adiabatic evolution

🔍 Perturbative method

$$\nabla^2 \varphi - \mu^2 \varphi = -\frac{\mu^2}{3!} \varphi^3$$

🔍 Nonlinear method

$$\varphi = \varphi^{(0)} + \varphi^{(1)} + \dots$$

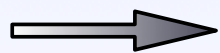
➔

$$\begin{aligned} \nabla^2 \varphi^{(0)} - \mu^2 \varphi^{(0)} &= 0 & \longrightarrow & \varphi^{(0)} = \sqrt{E_1} e^{-i\omega_1 t} e^{i\phi} \Phi_{211}(r, \theta) \\ \nabla^2 \varphi^{(1)} - \mu^2 \varphi^{(1)} &= -\frac{\mu^2}{3!} \left( \varphi^{(0)} \right)^3 & & + \sqrt{E_2} e^{-i\omega_2 t} e^{2i\phi} \Phi_{322}(r, \theta) \\ & & & + \text{c.c.} \end{aligned}$$

$$3E_1 \sqrt{E_2} \Phi_{211}^2 \Phi_{322}^* e^{-i(2\omega_1 - \omega_2)t} + \text{c.c.}$$

falls into the BH

$$+ 3E_2 \sqrt{E_1} \Phi_{211}^* \Phi_{322}^2 e^{-i(2\omega_2 - \omega_1)t} e^{3i\phi} + \text{c.c.} + \dots \text{ escapes to infinity}$$



Energy flux and angular momentum flux to infinity  
and to the horizon can be calculated



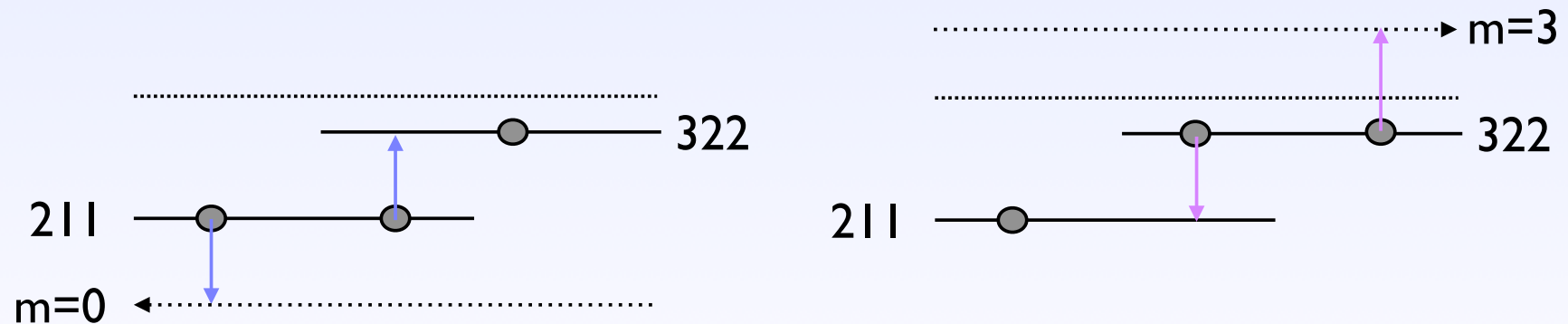
Evolution of  $E_1(t)$  and  $E_2(t)$  can be calculated  
assuming the conservation of energy and angular momentum

# Adiabatic evolution

🔍 Perturbative method

🔍 Nonlinear method

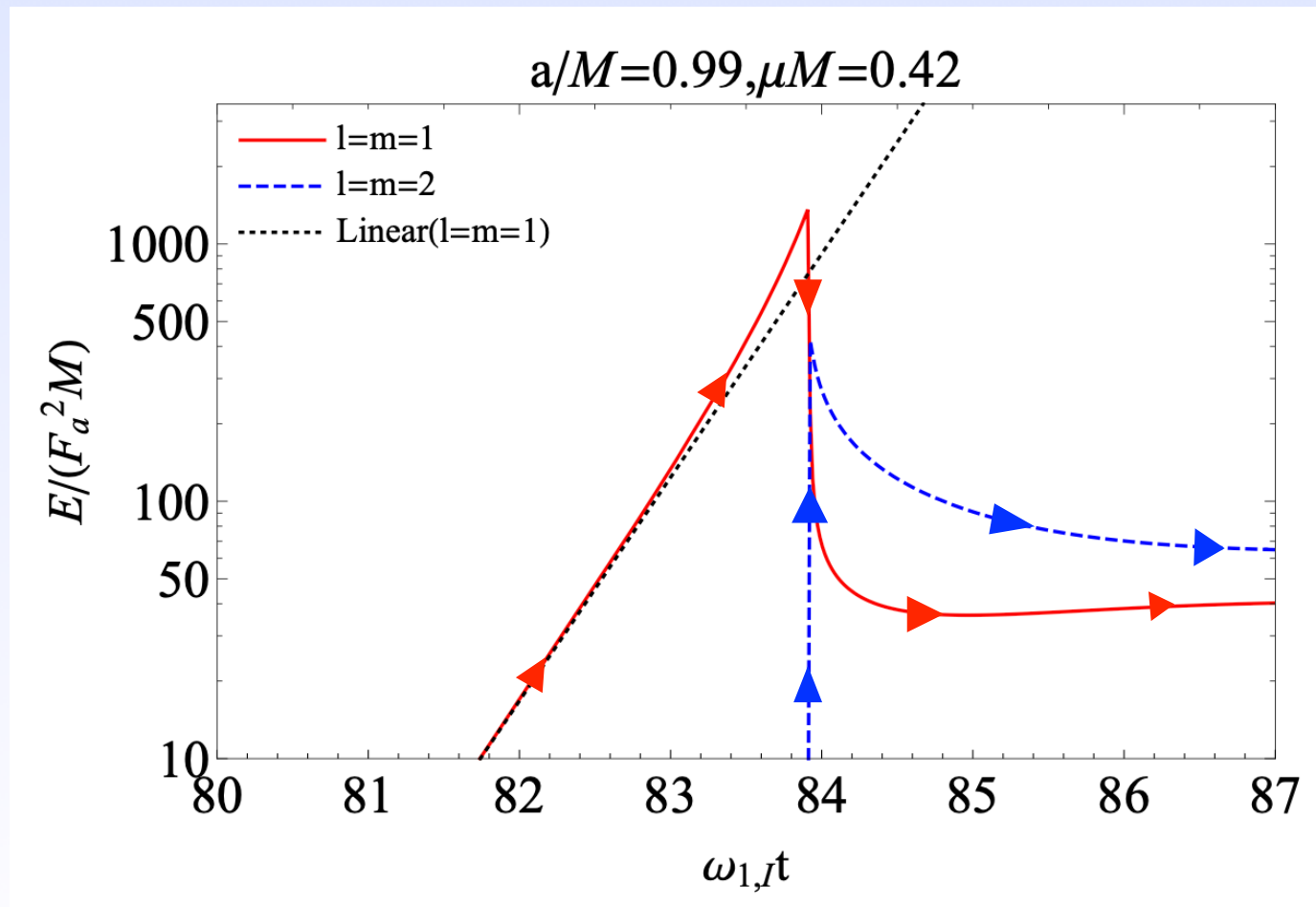
$$\frac{dE_1}{dt} + \frac{dE_2}{dt} = -F_{tot}^E$$
$$\frac{dJ_1}{dt} + \frac{dJ_2}{dt} = -F_{tot}^J$$



# Adiabatic evolution

🔍 Perturbative method

🔍 Nonlinear method



# Adiabatic evolution

• Perturbative method

• Nonlinear method

$$\varphi = \varphi_1(A_1) + A_2\varphi_2(A_1) + \varphi_r(A_1, A_2)$$

•  $\nabla^2\varphi_1 - \mu^2 \sin \varphi_1 = 0$   $\varphi_1 = e^{-i\omega_1 t} e^{i\phi} \Phi_1(r, \theta)$

⇒ Eigenvalue problem for  $\omega_1(A_1)$

•  $\nabla^2\varphi_2 - \mu^2(\cos \varphi_1)\varphi_2 = 0$   $\varphi_2 = e^{-i\omega_2 t} e^{2i\phi} \Phi_2(r, \theta)$

⇒ Eigenvalue problem for  $\omega_2(A_1)$

•  $\nabla^2\varphi_r - \mu^2(\cos \varphi_1)\varphi_r = \mu^2(\cos \varphi_1)\tilde{\varphi}_2 - \frac{\mu^2}{2}(\sin \varphi_1)\tilde{\varphi}_2^2 + \dots$

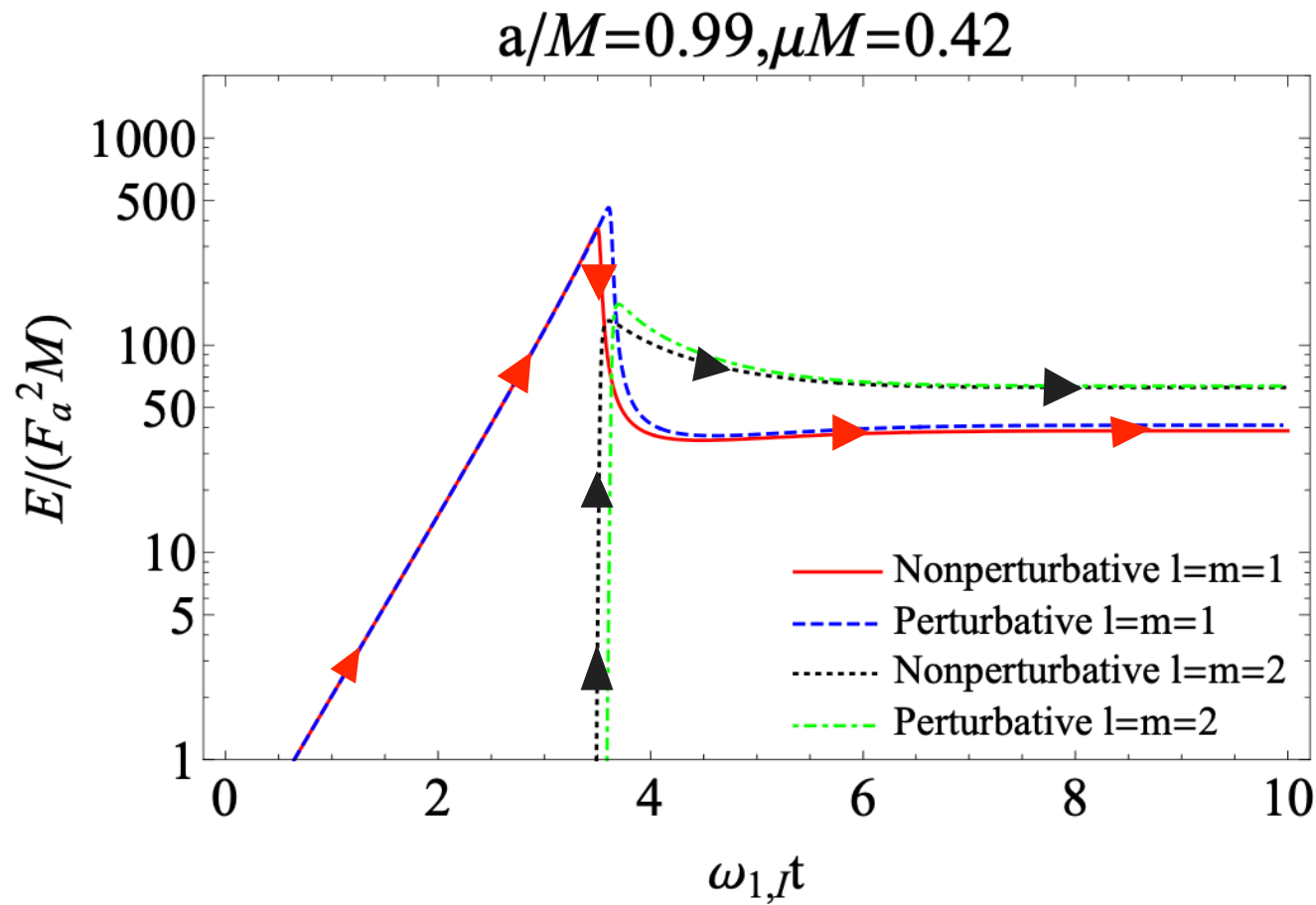
⇒ Calculate energy and angular momentum flux to infinity and horizon

⇒ Determine  $A_1(t)$  and  $A_2(t)$  so that conservation of energy and angular momentum is satisfied

# Adiabatic evolution

🔍 Perturbative method

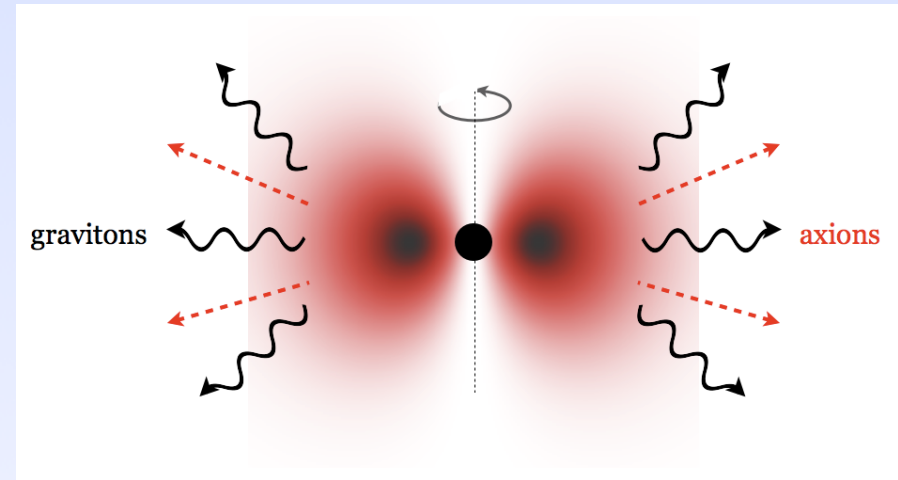
🔍 Nonlinear method



# Brief discussion on gravitational waves

## Issues to be explored

- String axion field forms an axion cloud around a rotating astrophysical BH by extracting BH's rotation energy.



- Superradiant instability
- Nonlinear self-interaction
- GW emission
- Long-term evolution of BH parameters

$$\nabla^2 \varphi - \mu^2 \sin \varphi = 0 \quad \varphi \equiv \frac{\Phi}{f_a}$$



## Teukolsky equation

$$\begin{aligned} & \left[ \frac{(r^2 + a^2)^2}{\Delta} - a^2 \sin^2 \theta \right] \frac{\partial^2 \psi}{\partial t^2} + \frac{4Mar}{\Delta} \frac{\partial^2 \psi}{\partial t \partial \phi} + \left[ \frac{a^2}{\Delta} - \frac{1}{\sin^2 \theta} \right] \frac{\partial^2 \psi}{\partial \phi^2} \\ & - \Delta^{-s} \frac{\partial}{\partial r} \left( \Delta^{s+1} \frac{d\psi}{dr} \right) - \frac{1}{\sin \theta} \frac{\partial}{\partial \theta} \left( \sin \theta \frac{\partial \psi}{\partial \theta} \right) - 2s \left[ \frac{a(r-M)}{\Delta} + \frac{i \cos \theta}{\sin^2 \theta} \right] \frac{\partial \psi}{\partial \phi} \\ & - 2s \left[ \frac{M(r^2 - a^2)}{\Delta} - r - ia \cos \theta \right] \frac{\partial \psi}{\partial t} + (s^2 \cot^2 \theta - s) \psi = 4\pi \Sigma T \end{aligned}$$

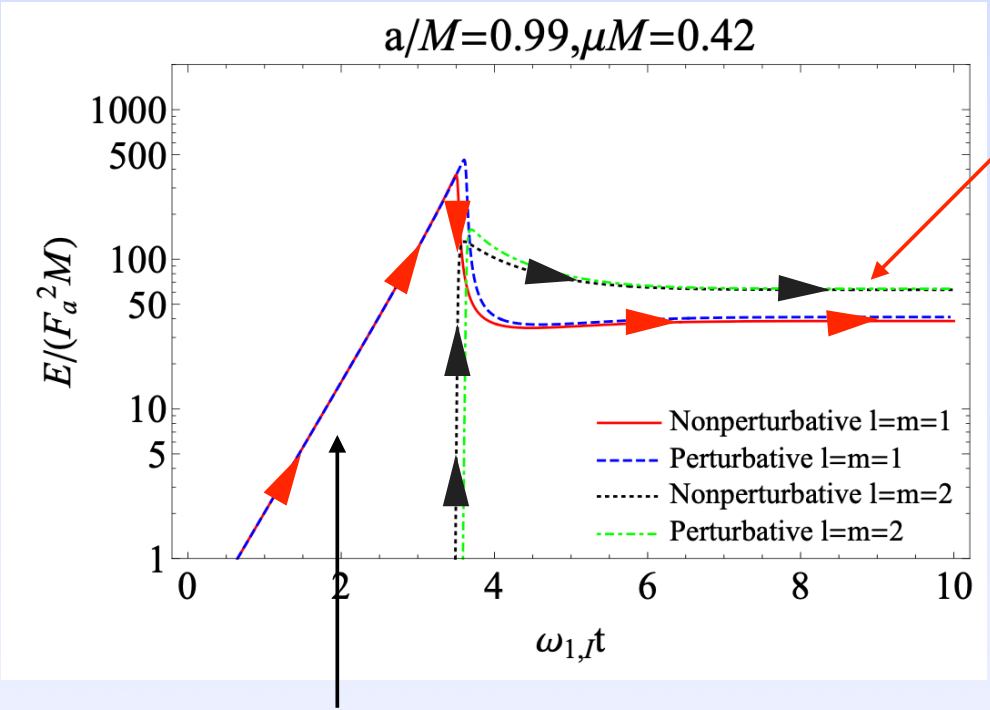
source term 

$$T_{ab} = \nabla_a \Phi \nabla_b \Phi - \frac{1}{2} g_{ab} (\nabla_c \Phi \nabla^c \Phi + 2U(\Phi))$$

**2 axion annihilation** squared term of m=1 mode

**Level transition** cross term of m=1 and 2 modes

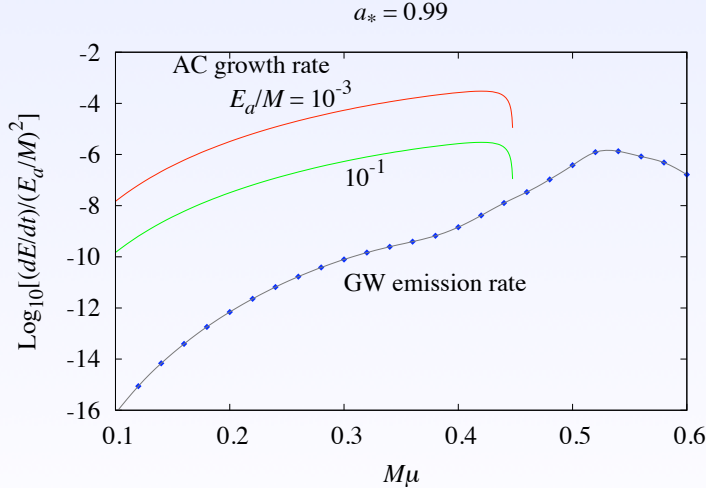
Omiya-kun, ongoing



2 axion annihilation  $\omega_{\text{GW}} \doteq 2\mu$

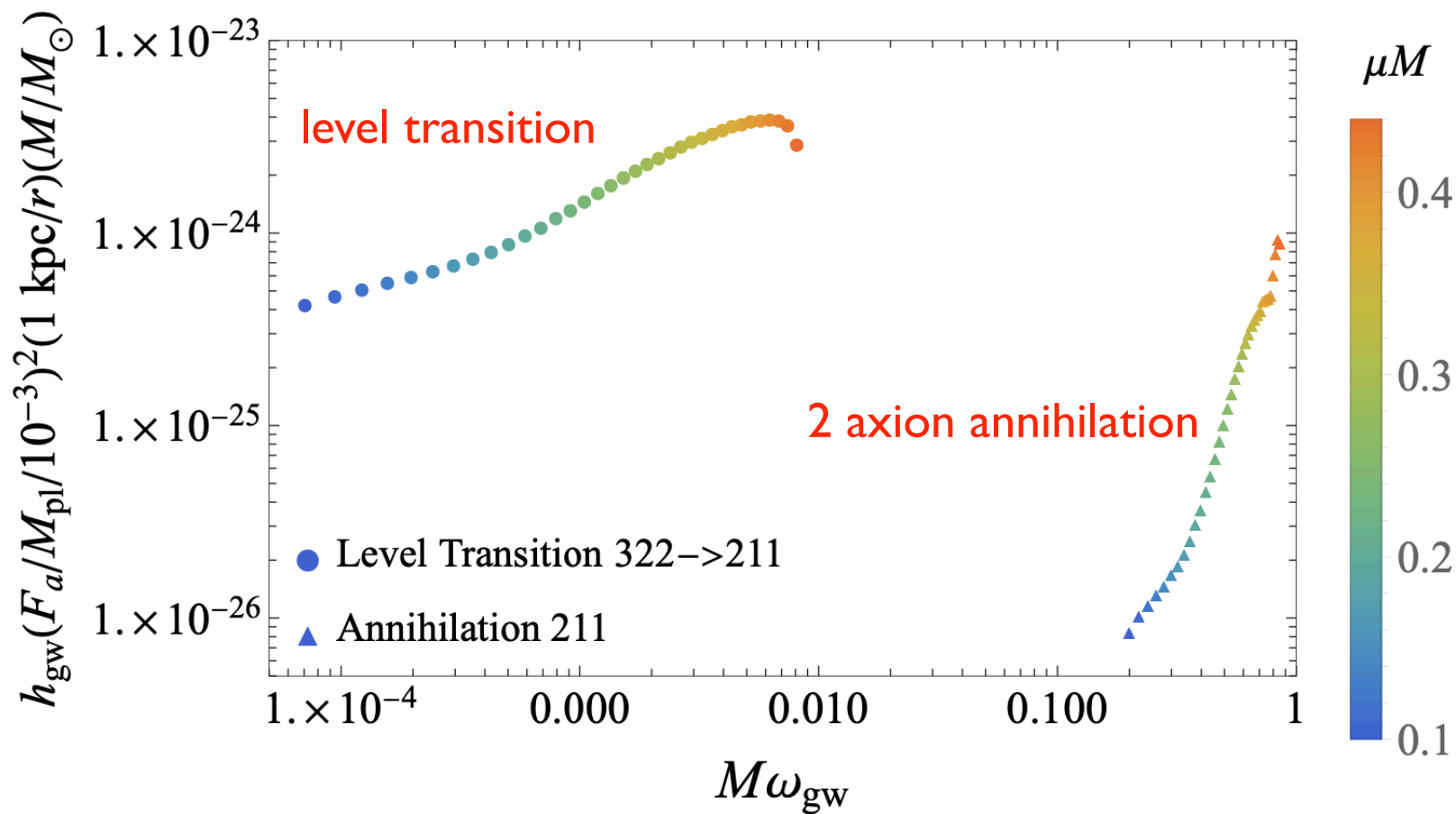
+ level transition  
 $\omega_{\text{GW}} \doteq \omega_2 - \omega_1$

Superradiant phase



2 axion annihilation

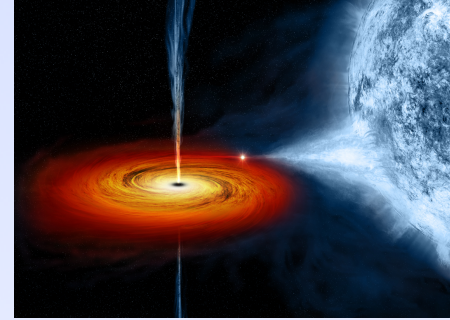
$$\omega_{\text{GW}} \doteq 2\mu$$



Preliminary

# Possible constraints from Cygnus X-1

- $M \approx 15M_{\odot}$
- $a_* \gtrsim 0.983$
- $d \approx 1.86$  kpc



McClintock, et al., arXiv:1106.3688-3690[astro-ph]

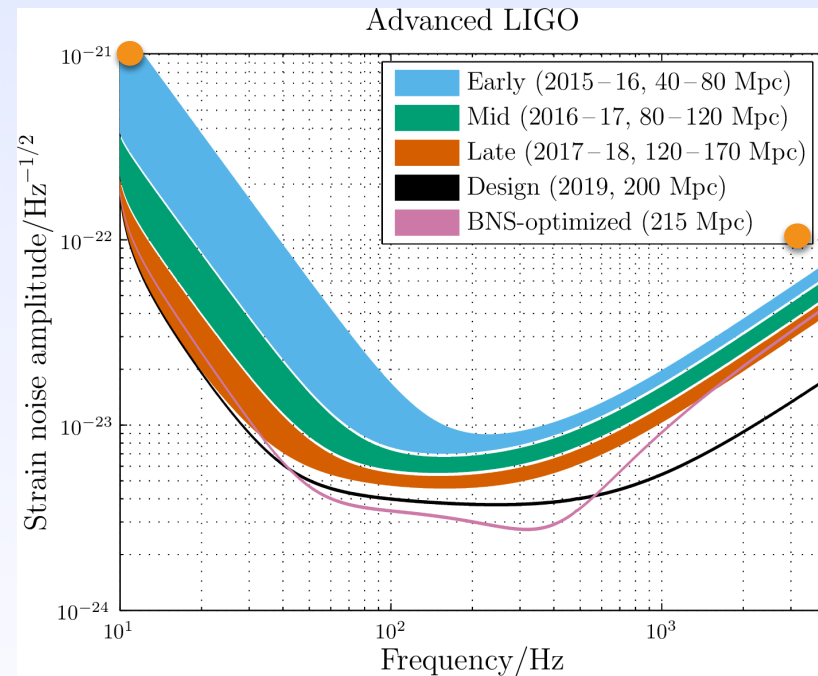
• In the case of  $\mu = 2.4 \times 10^{-12} \text{ eV}$   
( $M\mu = 0.3$ )

• Constraint from GW observation

➔  $f_a \lesssim 10^{15} \text{ GeV}$

• Constraint from BH parameter evolution

➔  $\Delta a_* \ll 1$    ➔  $f_a \lesssim 10^{11} \text{ GeV}$

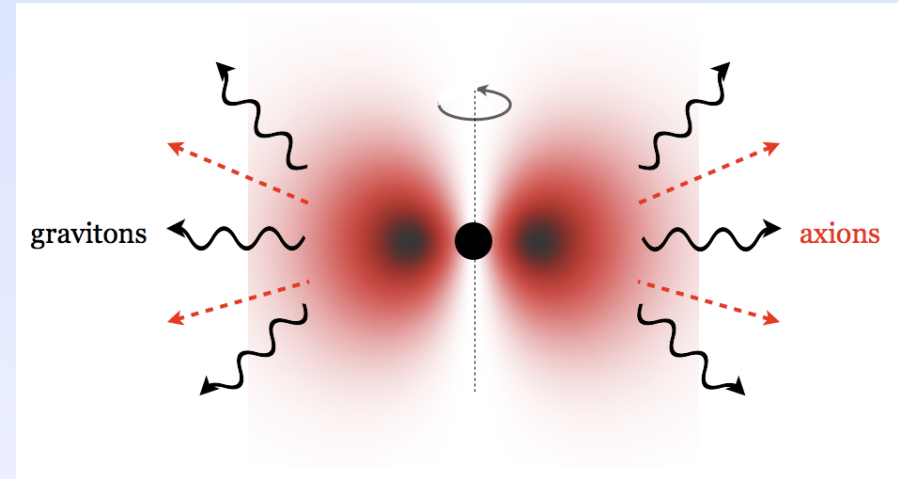


Preliminary

# Summary

# The most difficult part has been solved by Omiya-kun

- String axion field forms an axion cloud around a rotating astrophysical BH by extracting BH's rotation energy.



- Superradiant instability

- Nonlinear self-interaction

$$\nabla^2 \varphi - \mu^2 \sin \varphi = 0 \quad \varphi \equiv \frac{\Phi}{f_a}$$

- GW emission

- Long-term evolution of BH parameters

*Thank you!*