

# Finite $N$ superconformal index via the AdS/CFT correspondence

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[Arai, YI, arXiv:1904.09776]  
[YI, arXiv:2108.12090]

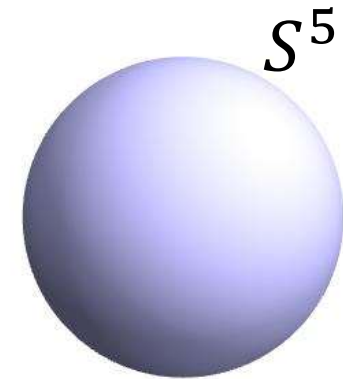
# AdS/CFT correspondence

[Maldacena, hep-th/9711200]

$\mathcal{N}=4$  U(N) SYM



$\text{AdS}_5 \times$



Gauge invariant operators

Various objects

We use the **superconformal index** as a mathematical tool to express the spectrum.

# Superconformal index

[Kinney, Maldacena, Minwalla, Raju, hep-th/0510251]

Cartan generators of PSU(2,2|4)

$H$  : Hamiltonian (Dilatation)

$J_1, J_2$  : Angular momenta

$R_1, R_2, R_3$  : R-charges

$$I(q, y, u_i) = \text{Tr}_{S^3 \times R} \left[ (-1)^F q^{H + \frac{J_1 + J_2}{2}} y^{J_1 - J_2} u_1^{R_1} u_2^{R_2} u_3^{R_3} \right]$$

$(u_1 u_2 u_3 = 1)$

We can calculate this quantity on the gauge theory side for an arbitrary  $N$  by using localization formula.

# Examples

(We turn off variables except for  $q$  (to save the space).)

$$\begin{aligned} I_{U(1)} = & 1 + 0q^{\frac{1}{2}} + 3q - 2q^{\frac{3}{2}} + 3q^2 + 0q^{\frac{5}{2}} + 0q^3 + 6q^{\frac{7}{2}} - 6q^4 \\ & + 0q^{\frac{9}{2}} + 12q^5 - 18q^{\frac{11}{2}} + 27q^6 - 12q^{\frac{13}{2}} - 27q^7 \\ & + 60q^{\frac{15}{2}} - 60q^8 + 24q^{\frac{17}{2}} + 76q^9 - 174q^{\frac{19}{2}} + 162q^{10} \\ & + 0q^{\frac{21}{2}} - 240q^{11} + 432q^{\frac{23}{2}} - 348q^{12} - 144q^{\frac{25}{2}} \\ & + 783q^{13} \dots \end{aligned}$$

$$\begin{aligned} I_{U(\infty)} = & 1 + 0q^{\frac{1}{2}} + 3q - 2q^{\frac{3}{2}} + 9q^2 - 6q^{\frac{5}{2}} + 21q^3 - 18q^{\frac{7}{2}} + 48q^4 \\ & - 42q^{\frac{9}{2}} + 99q^5 - 96q^{\frac{11}{2}} + 200q^6 - 198q^{\frac{13}{2}} + 381q^7 \\ & - 396q^{\frac{15}{2}} + 711q^8 - 750q^{\frac{17}{2}} + 1278q^9 - 1386q^{\frac{19}{2}} + 2256q^{10} \\ & - 2472q^{\frac{21}{2}} + 3879q^{11} - 4320q^{\frac{23}{2}} + 6564q^{12} - 7362q^{\frac{25}{2}} \\ & + 10890q^{13} \dots \end{aligned}$$

# Large N

The parameter relation

$$N = \frac{L_{\text{AdS}}^4}{l_p^4}$$

$L_{\text{AdS}}$ : AdS radius

$l_p$ : Planck length

Large  $N$   $\leftrightarrow$  Classical analysis is justified.

$$I_{U(\infty)} =$$



Supergravity KK modes in  $S^5$

[Kinney, Maldacena, Minwalla, Raju, hep-th/0510251]

# Finite N

Parameter relations

$L_{\text{AdS}}$ : AdS radius

$$N = \frac{L_{\text{AdS}}^4}{l_p^4}$$

finite N  $\rightarrow$  quantum gravity

$l_p$ : Planck length

$T_{\text{D3}}$ : D3 tension

$$N = L_{\text{AdS}}^4 T_{\text{D3}}$$

finite N  $\rightarrow$  Expanded D3-branes  
(Giant gravitons)

## **Interesting possibility**

If a quantity is protected from quantum gravity correction, it may be possible to reproduce the finite N correction to the quantity as D3-brane contributions.

**Superconformal index** seems to be such a quantity.

# Main claim [YI, arXiv:2108.12090]

$$I_{U(N)} = \text{blue sphere} + \text{blue sphere with 1 red line} + \text{blue sphere with 2 red lines} + \text{blue sphere with 3 red lines} + \dots$$

or, equivalently,

$$= \text{blue sphere} \left( 1 + \text{blue sphere with 1 red line} + \text{blue sphere with 2 red lines} + \text{blue sphere with 3 red lines} + \dots \right)$$

# Strategy

Dynamical D3

Index formula



reduction



completion  
(guess works)

Rigid D3

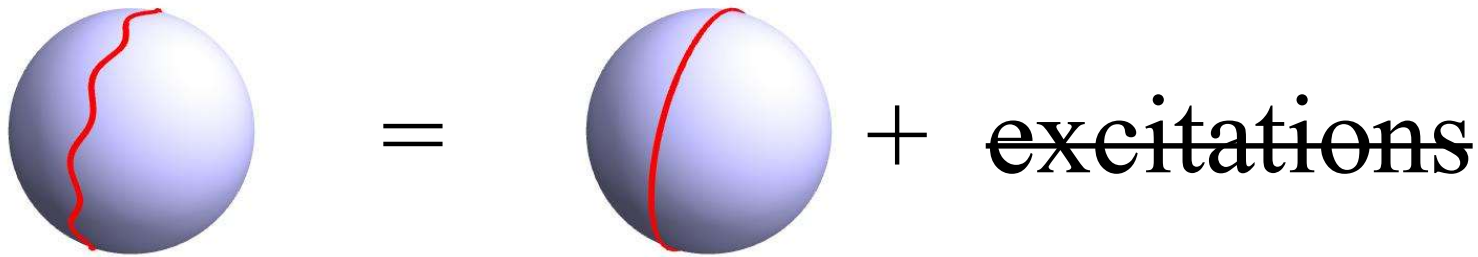


solvable

Character formula



# Rigid branes (a toy model)



Rigid brane = D3 wrapped on a large  $S^3$  in  $S^5$

$$az_1 + bz_2 + cz_3 = 0 \quad (a, b, c) \in \mathbb{CP}^2$$

A rigid D3 = A point particle in  $\mathbb{CP}^2$

Degenerate states in  $[N, 0]$  of  $SU(3) \in SO(6)_R$

## “Index” of a rigid D3

$$I = q^N \chi_{[N,0]}(u)$$

$q^N$ : the energy of D3

$\chi_{[N,0]}(u)$ : SU(3) character

$$\chi_{[N,0]}(u) = \sum_{k_1+k_2+k_3=N} u_1^{k_1} u_2^{k_2} u_3^{k_3}$$

$$\chi_{[0,0]} = 1$$

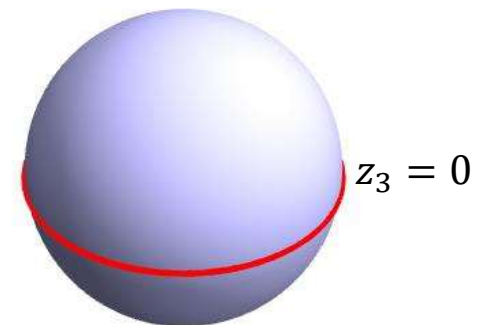
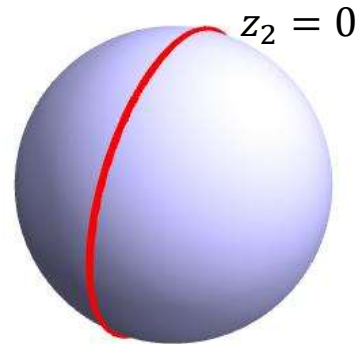
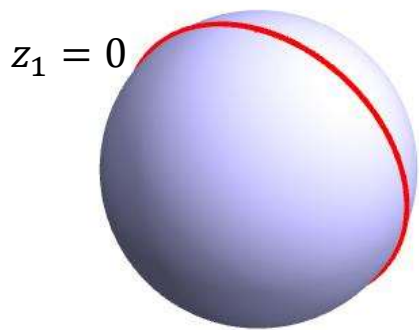
$$\chi_{[1,0]} = u_1 + u_2 + u_3$$

$$\chi_{[2,0]} = u_1^2 + u_2^2 + u_3^2 + u_1 u_2 + u_2 u_3 + u_3 u_1$$

$\vdots$

# Decomposition to harmonic oscillators

$$q^N \chi_{[N,0]} = \frac{(qu_1)^N}{\left(1 - \frac{u_2}{u_1}\right) \left(1 - \frac{u_3}{u_1}\right)} + \frac{(qu_2)^N}{\left(1 - \frac{u_3}{u_2}\right) \left(1 - \frac{u_1}{u_2}\right)} + \frac{(qu_3)^N}{\left(1 - \frac{u_1}{u_3}\right) \left(1 - \frac{u_2}{u_3}\right)}$$



$(qu_i)^N$  : classical energy and charges of a brane wrapped around a large circle

$\frac{1}{\left(1 - \frac{u_{i+1}}{u_i}\right) \left(1 - \frac{u_{i-1}}{u_i}\right)}$  : harmonic oscillators of two rigid motions

# Completion

Rigid motions  
(only two d.o.f.)

$$(qu_3)^N \frac{1}{\left(1 - \frac{u_1}{u_3}\right) \left(1 - \frac{u_2}{u_3}\right)}$$



All fluctuations

$$(qu_3)^N \frac{\left(1 - \frac{q^{\frac{1}{2}}y}{u_3}\right) \left(1 - \frac{q^{\frac{1}{2}}}{yu_3}\right) \dots}{\left(1 - \frac{1}{qu_3}\right) \left(1 - \frac{u_1}{u_3}\right) \left(1 - \frac{u_2}{u_3}\right) \dots} =: F_3$$

↑ obtained from the mode expansion of  
the vector multiplet on the wrapped D3

This is the index of the U(1) gauge theory on a wrapped D3.

We also define  $F_1$  and  $F_2$  in the same way.

$$I_{\text{single}} = I_{\text{SUGRA}} \times (F_1 + F_2 + F_3)$$

# Numerical check

We want to get

$$I_{U(1)} = 1 + 0q^{\frac{1}{2}} + 3q - 2q^{\frac{3}{2}} + 3q^2 + 0q^{\frac{5}{2}} + 0q^3 + 6q^{\frac{7}{2}} - 6q^4 \\ + 0q^{\frac{9}{2}} + 12q^5 - 18q^{\frac{11}{2}} + 27q^6 - 12q^{\frac{13}{2}} - 27q^7 + \dots$$

SUGRA gives

$$I_{\text{SUGRA}} = 1 + 0q^{\frac{1}{2}} + 3q - 2q^{\frac{3}{2}} + 9q^2 - 6q^{\frac{5}{2}} + 21q^3 - 18q^{\frac{7}{2}} + 48q^4 \\ - 42q^{\frac{9}{2}} + 99q^5 - 96q^{\frac{11}{2}} + 200q^6 - 198q^{\frac{13}{2}} + 381q^7 + \dots$$

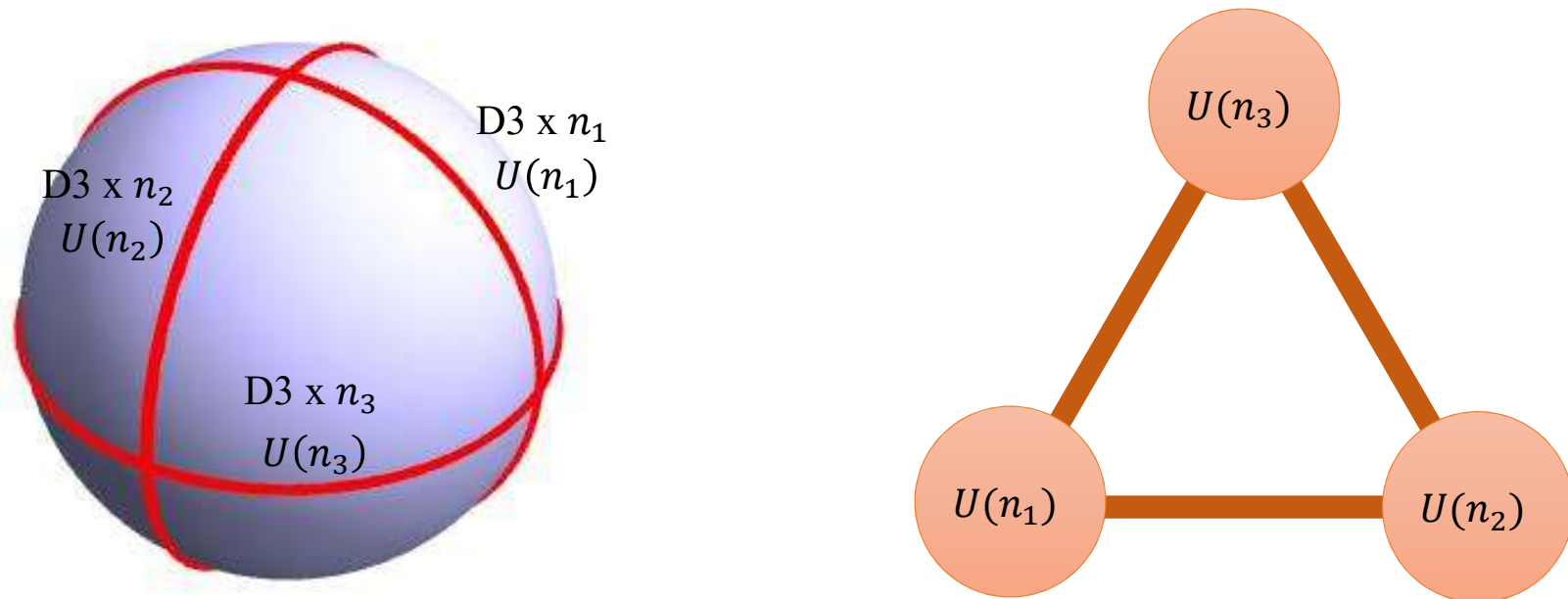
Inclusion of  $I_{\text{single}}$  [Arai, YI, arXiv:1904.09776]

$$I_{\text{SUGRA}} + I_{\text{single}} = 1 + 0q^{\frac{1}{2}} + 3q - 2q^{\frac{3}{2}} + 3q^2 + 0q^{\frac{5}{2}} + 0q^3 + 6q^{\frac{7}{2}} - 6q^4 \\ + 0q^{\frac{9}{2}} + 12q^5 - 18q^{\frac{11}{2}} - 85q^6 + 504q^{\frac{13}{2}} - 1896q^7 + \dots$$

This is encouraging, but still we have mismatch.

## 2<sup>nd</sup> completion

Let us include the contribution from multiple-wrapping contributions.



$U(n_1) \times U(n_2) \times U(n_3)$  quiver gauge theory is realized on the brane system

# Proposal

The index is given by the following formula. [YI, arXiv:2108.12090]

$$I_{U(N)} = I_{\text{SUGRA}} \sum_{n_1, n_2, n_3=0}^{\infty} q^{(n_1+n_2+n_3)N} u_1^{n_1 N} u_2^{n_2 N} u_3^{n_3 N} F_{n_1, n_2, n_3}$$

$F_{n_1, n_2, n_3}$ : index of the quiver gauge theory on the D3-branes ( $N$ -indep)

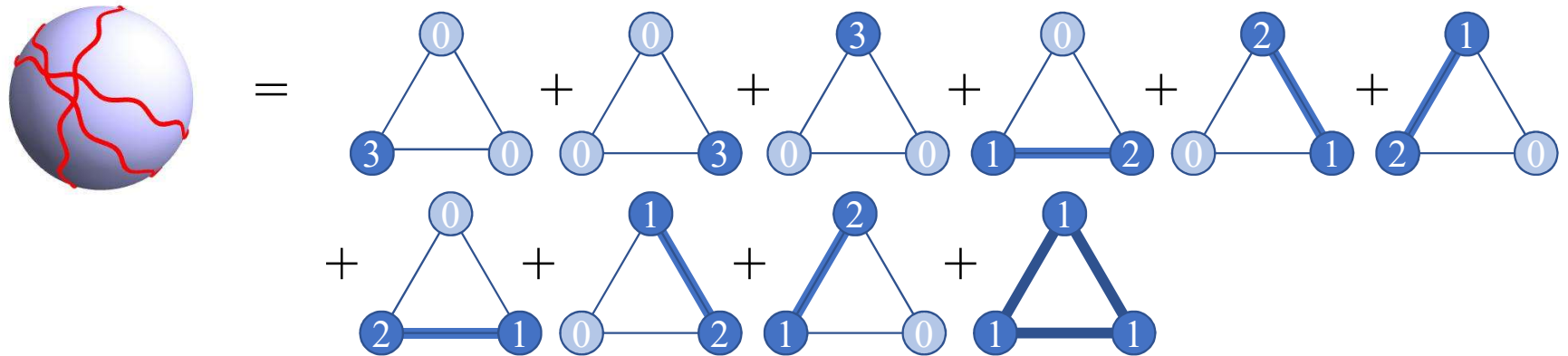
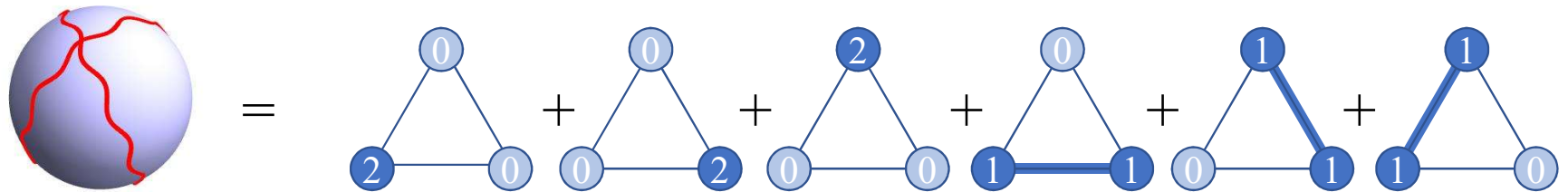
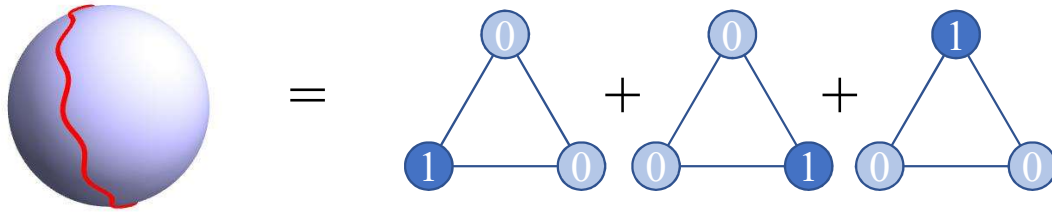
$I_{\text{single}}$  : (1,0,0), (0,1,0), (0,0,1)

$I_{\text{double}}$  : (2,0,0), (0,2,0), (0,0,2), (1,1,0), (1,0,1), (0,1,1)

$I_{\text{triple}}$  : (3,0,0), (0,3,0), (0,0,3), (2,1,0), (1,2,0), (0,2,1), (0,1,2), (2,0,1), (1,0,2), (1,1,1)

...

# Numerical check





# Numerical check

Inclusion of multiple-wrapping contributions gives (for  $N = 1$ )

$$\begin{aligned} & I_{\text{SUGRA}} + I_{\text{single}} + I_{\text{double}} + I_{\text{triple}} + \dots \\ &= 1 + 0q^{\frac{1}{2}} + 3q - 2q^{\frac{3}{2}} + 3q^2 + 0q^{\frac{5}{2}} + 0q^3 + 6q^{\frac{7}{2}} - 6q^4 \\ &+ 0q^{\frac{9}{2}} + 12q^5 - 18q^{\frac{11}{2}} + 27q^6 - 12q^{\frac{13}{2}} - 27q^7 \\ &+ 60q^{\frac{15}{2}} - 60q^8 + 24q^{\frac{17}{2}} + 76q^9 - 174q^{\frac{19}{2}} + 162q^{10} \\ &+ 0q^{\frac{21}{2}} - 240q^{11} + 432q^{\frac{23}{2}} - 348q^{12} - 144q^{\frac{25}{2}} \\ &+ 783q^{13} \dots = I_{U(1)} \end{aligned}$$

By summing up contributions up to  $n_1 + n_2 + n_3 \leq 3$  we found **complete agreement** up to  $q^{13}$  for  $N = 1$ .

[YI, arXiv:2108.12090]

# Summary

- We proposed a new method to calculate the index of  $N=4$  SYM on the AdS side.
- Although we have no proof at present, numerical analysis showed it reproduces the correct answer.
- The method has been applied to many examples of AdS/CFT correspondence, and works well.

# Application to other systems

AdS	CFT	Large N	Single-wrapping	Multiple-wrapping
$\text{AdS}_5 \times S^5$	4d $\mathcal{N}=4$ SYM	[Kinney, Maldacena, Minwalla, Raju, 05]	✓ [Arai, YI, 19]	✓ [Arai, Fujiwara, YI, Mori, 20][YI, 21]
$\text{AdS}_5 \times S^5/Z_k^S$	4d $\mathcal{N}=3$	[IY, Yokoyama, 16]	✓ [Arai, YI, 19]	
$\text{AdS}_5 \times S^5/\Gamma$	4d $\mathcal{N}=1$ quiver	[Nakayama, 05]	✓ [Arai, Fujiwara, YI, Mori, 19]	
$\text{AdS}_5 \times \text{SE}_5$	4d $\mathcal{N}=1$ quiver	[Nakayama, 06][Eager, Schmude, Tachikawa, 12][Agarwal, Amariti, Mariotti 13]	✓ [Arai, Fujiwara, YI, Mori, 19]	(✓) [Fujiwara, in preparation]
$\text{AdS}_5 \times S_\alpha^5$	4d $\mathcal{N}=2$ AD & MN	[Fayyazuddin, Spalinski, 98][Aharony, Fayyazuddin, Maldacena, 98]	✓ [YI, Murayama, 21]	
$\text{AdS}_4 \times S^7/Z_k$	3d ABJM	[Bhattacharya, Bhattacharyya, Minwalla, Raju, 08][Kim, 09]	✓ [Arai, Fujiwara, YI, Mori, Yokoyama, 20]	
$\text{AdS}_7 \times S^4$	6d (2,0)	[Bhattacharya, Bhattacharyya, Minwalla, Raju, 08]	✓ [Arai, Fujiwara, YI, Mori, Yokoyama, 20]	(✓) [Arai, Fujiwara, YI, Mori, Yokoyama]
$\text{AdS}_7 \times S^4/Z_k$	6d (1,0)	[Ahn, Oh, Tatar, 98]	✓ [Fujiwara, YI, Mori, 21]	

As far as we have checked the formula reproduces finite  $N$  index correctly!

Thank you