

# Conformal anomalies $a$ vs $c$

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Based on [Agarwal, JS 1912.12881][Agarwal, KH Lee, JS 2007.16165]  
[Kang, Lawrie, JS 2106.12579][Kang, Lawrie, KH Lee, JS 2111.xxxxx]

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# Central charges of 4d CFT

- **Conformal anomalies** of a 4d CFT are parametrized by two parameters (central charges)  $a$  &  $c$ :

$$\langle T_{\mu}^{\mu} \rangle = \frac{c}{16\pi^2} W^2 - \frac{a}{16\pi^2} E$$

- It is now well-established that  $a$ -function is a **monotonically decreasing** function along the RG flow ( $a$ -theorem): [Komargodski-Schwimmer]

$$a_{IR} < a_{UV}$$

- One can think of the  $a$ -function as a quantity that measures degrees of freedom.
- The  $c$ -function, on the other-hand, does **not** always decrease along the RG flow.

# Hofman-Maldacena bound on central charges

- The ratio  $a/c$  of central charges is bounded by **unitarity**: [\[Hofman-Maldacena\]](#)

$$\frac{1}{2} \leq \frac{a}{c} \leq \frac{31}{18} \quad (\text{lower/upper bound saturated by free scalar/free vector})$$

- For superconformal theory:

- $\mathcal{N}=1$  SCFT:  $\frac{1}{2} \leq \frac{a}{c} \leq \frac{3}{2}$  (lower/upper bound saturated by free chiral/free vector)

- $\mathcal{N}=2$  SCFT:  $\frac{1}{2} \leq \frac{a}{c} \leq \frac{5}{4}$  (lower/upper bound saturated by free hyper/free vector)

- $\mathcal{N}=3$  or  $\mathcal{N}=4$  SCFT:  $a = c$  [\[Aharony-Evtikhiev\]](#)

# The role of $a$ and $c$

- Any **holographic** theories have  $a = c$  (for **large  $N$** ). [Henningson-Skenderis]
- When  $a \neq c$ , there is a correction to the celebrated **entropy-viscosity ratio bound** of [Kovtun-Son-Starinets] to [Katz-Petrov][Buchel-Myers-Sinha]

$$\frac{\eta}{s} \geq \frac{1}{4\pi} \left( 1 - \frac{c - a}{c} + \dots \right)$$

- Also appears in the universal part of **entanglement entropy**. [Perlmutter-Rangamani-Rota]
- The '**high-temperature limit**' of the supersymmetric index is governed by  $a$  &  $c$ :  
[J. Kim, S. Kim, JS] [Cabo-Bizet, Cassani, Martelli, Murthy]

$$I(p = q = e^{-\beta}) \rightarrow \exp \left( \# \frac{3c - 2a}{\beta^2} \right)$$

This formula accounts for the **entropy of supersymmetric black holes** in AdS<sub>5</sub>.  
[Choi, Kim, Kim, Nahmgoong][Benini-Milan]

# Large $N$ scaling behavior of $a$ and $c$

- Typical 4d gauge theories (of rank  $N$ ) have

$$a \sim c \sim \mathcal{O}(N^2), \quad \text{and} \quad c - a \sim \mathcal{O}(N)$$

so that  $a = c$  in the **large  $N$**  limit, but **not for a finite  $N$** . (satisfying the necessary condition for it to be holographic)

- Is this true in general?
  - Is the above **scaling behavior** for  $a$  and  $c$  true in general?
  - Any **universality** for the sign of  $c - a$ ?
  - Is it possible to have  $a = c$  for **finite  $N$** ? (for  $\mathcal{N}=0, 1, 2$  SUSY)

**Non-universal of scaling behavior  
of central charges  $a$  &  $c$**

# Example: 'Simplest' Large N SCFT

[Agarwal, JS 1912]

Matter contents:

	$SU(N)$	$U(1)_B$	$U(1)_A$	$R$
$Q$	$N$	1	$N$	$1 - NR_\Phi$
$\tilde{Q}$	$\bar{N}$	-1	$N$	$1 - NR_\Phi$
$\Phi$	adj	0	-1	$R_\Phi$

Gauge invariant operators:

It looks like any other gauge theories with a sparse low-lying spectrum.

- Coulomb branch operators:  $\Phi^n$ ,  $2 \leq n \leq N$
- dressed mesons:  $Q\Phi^n\tilde{Q}$ ,  $0 \leq n \leq N - 1$
- 'baryon':  $Q(\Phi Q)(\Phi^2 Q) \dots (\Phi^{N-1} Q)$
- 'anti-baryon':  $\tilde{Q}(\Phi\tilde{Q})(\Phi^2\tilde{Q}) \dots (\Phi^{N-1}\tilde{Q})$

This theory flows to a **superconformal fixed point** in the IR.

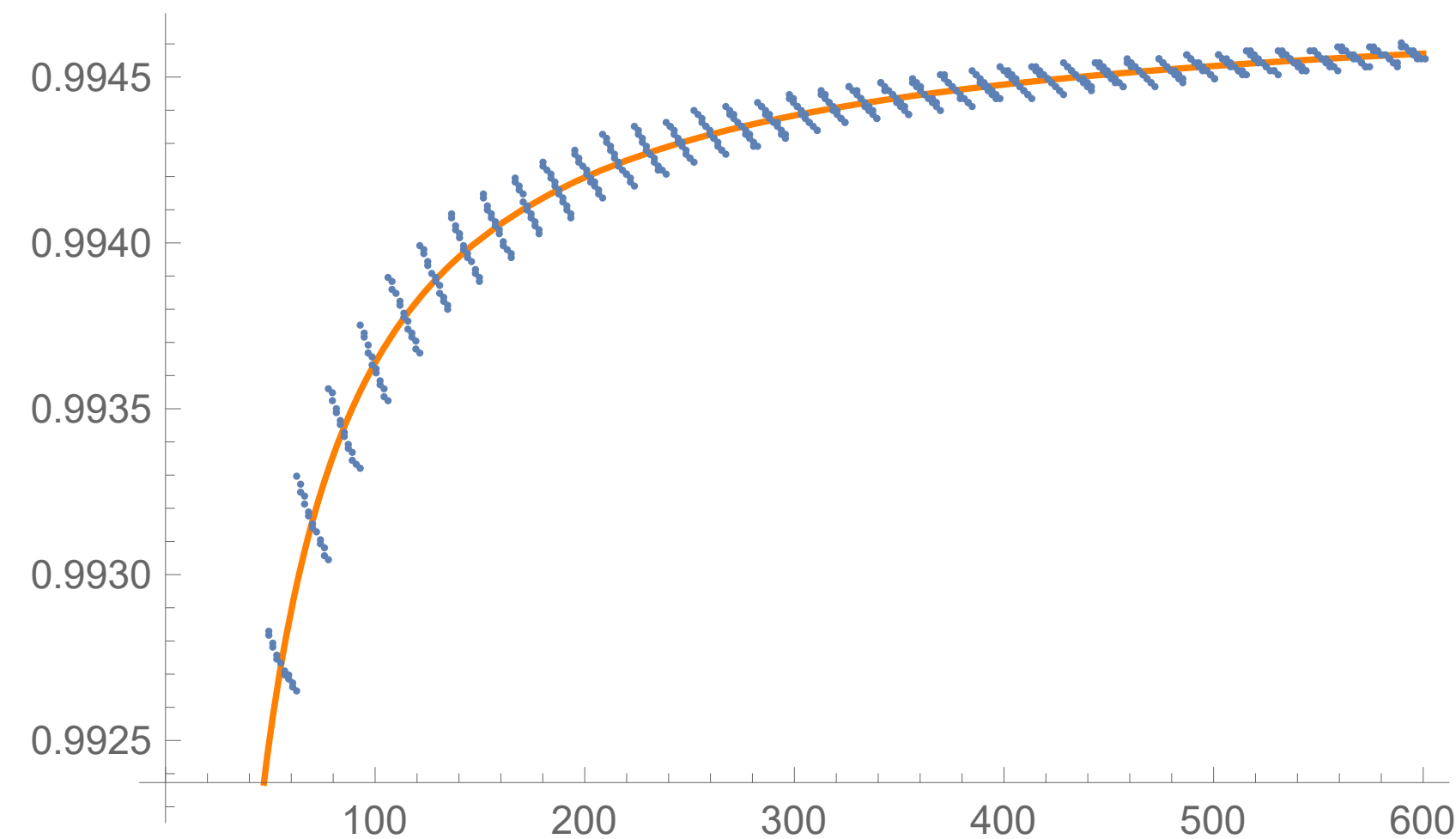
	$SU(N)$	$U(1)_B$	$U(1)_A$	$R$
$Q$	$N$	1	$N$	$1 - NR_\Phi$
$\tilde{Q}$	$\bar{N}$	-1	$N$	$1 - NR_\Phi$
$\Phi$	adj	0	-1	$R_\Phi$

- Coulomb branch operators:  $\Phi^n$ ,  $2 \leq n \leq N$
- dressed mesons:  $Q\Phi^n\tilde{Q}$ ,  $0 \leq n \leq N - 1$
- ‘baryon’:  $Q(\Phi Q)(\Phi^2 Q) \dots (\Phi^{N-1} Q)$
- ‘anti-baryon’:  $\tilde{Q}(\Phi\tilde{Q})(\Phi^2\tilde{Q}) \dots (\Phi^{N-1}\tilde{Q})$

- This simple theory flows to a superconformal fixed point with a number of **decoupled free fields**.
- Some of the Coulomb branch operators  $\text{Tr}\Phi^i$  and the dressed mesons  $\tilde{Q}\Phi^i Q$  decouple for low  $i$ .
- None of the ‘baryons’ decouple.  $\Delta_B \sim O(N)$
- The decoupled field can be **removed by introducing flip field** and the superpotential coupling  $W = X\mathcal{O}$ . “ $\mathcal{O} \leftrightarrow X$ ”



# Feature 1: The $O(N)$ degrees of freedom



$$a \simeq 0.500819 N - 0.692539$$

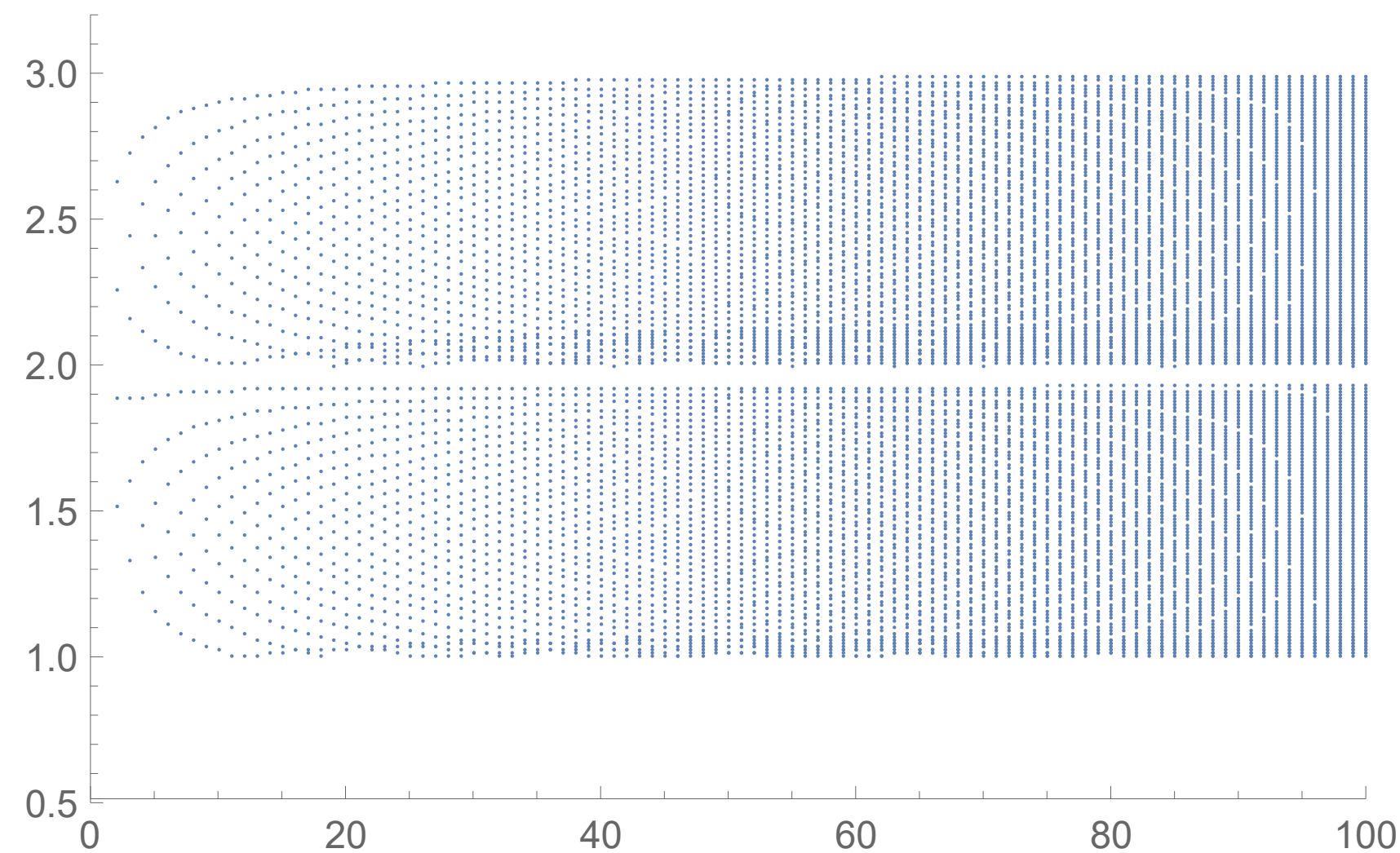
$$c \simeq 0.503462 N - 0.640935$$

$$a/c \sim 0.994757 - 0.111888/N$$

The degrees of freedom grows as  $O(N^1)$  instead of the natural matrix degrees of freedom  $O(N^2)$ !

The ratio  $a/c$  asymptotes to a value close to 1, but *not exactly*.

# Feature 2: Dense spectrum



← Flip fields for  $\text{Tr}\Phi^i$  small  $i$

←  $\text{Tr}\Phi^i, Q\Phi^i\tilde{Q}$

$$R_\Phi \simeq 0.712086/N$$

$$R_Q \simeq 0.284372 + 0.609971/N$$

The spectrum of chiral operators form a **dense band**, instead of being sparse!  
(analog of the Liouville theory? Decompactification?)

It does not seem to exhibit confinement/deconfinement transition.

# Classifying SUSY large $N$ theories

[Agarwal, Lee, JS]

- Let us classify all possible supersymmetric **large  $N$  gauge theories** in 4d with the following conditions:
  - The gauge group is **simple**:  $G = \text{SU}(N), \text{SO}(N), \text{Sp}(N)$
  - The **flavor symmetry is fixed** as we take large  $N$  limit.
  - No superpotential except the flip for the decoupled ops (at the moment).
- In the context of AdS/CFT:  
**flavor symmetry of the boundary CFT = gauge symmetry in the bulk.**

See [Bhardwaj, Tachikawa] for the classification of  $\mathcal{N}=2$  gauge theories.

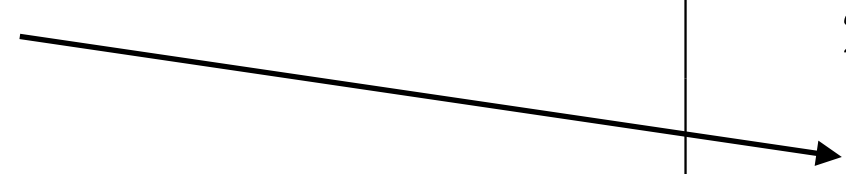
The full list of  $SU(N)$  theories with large  $N$  limit. (4+16 classes of theories)

Theory	$\beta_{\text{matter}}$	chiral	dense	$N_f$
$1 \text{ Adj} + N_f (\square + \bar{\square})$	$\sim N$	N	Y	$N_f \geq 1$
$1 \square\square + 1 \bar{\square}\bar{\square} + N_f (\square + \bar{\square})$	$\sim N$	N	Y	$N_f \geq 0$
$1 \begin{array}{ c } \hline \square \\ \hline \end{array} + 1 \begin{array}{ c } \hline \bar{\square} \\ \hline \end{array} + N_f (\square + \bar{\square})$	$\sim N$	N	Y	$N_f \geq 4$
$1 \square\square + 1 \begin{array}{ c } \hline \bar{\square} \\ \hline \end{array} + 8 \bar{\square} + N_f (\square + \bar{\square})$	$\sim N$	Y	Y	$N_f \geq 0$
$2 \square\square + 2 \bar{\square}\bar{\square} + N_f (\square + \bar{\square})$	$\sim 2N$	N	N	$N_f \geq 0$
$1 \square\square + 2 \bar{\square}\bar{\square} + 1 \begin{array}{ c } \hline \square \\ \hline \end{array} + 8 \square + N_f (\square + \bar{\square})$	$\sim 2N$	Y	N	$N_f \geq 0$
$1 \square\square + 1 \bar{\square}\bar{\square} + 1 \begin{array}{ c } \hline \square \\ \hline \end{array} + 1 \begin{array}{ c } \hline \bar{\square} \\ \hline \end{array} + N_f (\square + \bar{\square})$	$\sim 2N$	N	N	$N_f \geq 0$
$1 \square\square + 1 \begin{array}{ c } \hline \square \\ \hline \end{array} + 2 \begin{array}{ c } \hline \bar{\square} \\ \hline \end{array} + 8 \bar{\square} + N_f (\square + \bar{\square})$	$\sim 2N$	Y	N	$N_f \geq 0$
$2 \square\square + 2 \begin{array}{ c } \hline \bar{\square} \\ \hline \end{array} + 16 \bar{\square} + N_f (\square + \bar{\square})$	$\sim 2N$	Y	N	$N_f \geq 0$
$1 \text{ Adj} + 1 \square\square + 1 \bar{\square}\bar{\square} + N_f (\square + \bar{\square})$	$\sim 2N$	N	N	$N_f \geq 0$
$2 \begin{array}{ c } \hline \square \\ \hline \end{array} + 2 \begin{array}{ c } \hline \bar{\square} \\ \hline \end{array} + N_f (\square + \bar{\square})$	$\sim 2N$	N	N	$N_f \geq 0$
$1 \text{ Adj} + 1 \square\square + 1 \begin{array}{ c } \hline \bar{\square} \\ \hline \end{array} + 8 \bar{\square} + N_f (\square + \bar{\square})$	$\sim 2N$	Y	N	$N_f \geq 0$
$1 \text{ Adj} + 1 \begin{array}{ c } \hline \square \\ \hline \end{array} + 1 \begin{array}{ c } \hline \bar{\square} \\ \hline \end{array} + N_f (\square + \bar{\square})$	$\sim 2N$	N	N	$N_f \geq 0$
$2 \text{ Adj} + N_f (\square + \bar{\square})$	$\sim 2N$	N	N	$N_f \geq 0$
$1 (\square\square + \bar{\square}\bar{\square}) + 2 (\begin{array}{ c } \hline \square \\ \hline \end{array} + \begin{array}{ c } \hline \bar{\square} \\ \hline \end{array}) + N_f (\square + \bar{\square})$	$\sim 3N$	N	N	$0 \leq N_f \leq 2$
$3 \begin{array}{ c } \hline \square \\ \hline \end{array} + 3 \begin{array}{ c } \hline \bar{\square} \\ \hline \end{array} + N_f (\square + \bar{\square})$	$\sim 3N$	N	N	$0 \leq N_f \leq 6$
$1 \text{ Adj} + 2 \begin{array}{ c } \hline \square \\ \hline \end{array} + 2 \begin{array}{ c } \hline \bar{\square} \\ \hline \end{array} + N_f (\square + \bar{\square})$	$\sim 3N$	N	N	$0 \leq N_f \leq 4$
$1 \text{ Adj} + 1 (\square\square + \bar{\square}\bar{\square}) + 1 (\begin{array}{ c } \hline \square \\ \hline \end{array} + \begin{array}{ c } \hline \bar{\square} \\ \hline \end{array})$	$\sim 3N$	N	N	.
$2 \text{ Adj} + 1 \begin{array}{ c } \hline \square \\ \hline \end{array} + 1 \begin{array}{ c } \hline \bar{\square} \\ \hline \end{array} + N_f (\square + \bar{\square})$	$\sim 3N$	N	N	$0 \leq N_f \leq 2$
$3 \text{ Adj}$	$\sim 3N$	N	N	.

$\mathcal{N}=2$  SCFT (for  $N_f = 4$ )



$\mathcal{N}=4$  SYM



Theory	$\beta_{\text{matter}}$	dense spectrum	$N_f$
$1 \square\square + N_f \square$	$\sim N$	Y	$N_f \geq 0$
$1 \begin{array}{ c } \hline \square \\ \hline \end{array} + N_f \square$	$\sim N$	Y	$N_f \geq 1$
$2 \square\square + N_f \square$	$\sim 2N$	N	$N_f \geq 0$
$1 \square\square + 1 \begin{array}{ c } \hline \square \\ \hline \end{array} + N_f \square$	$\sim 2N$	N	$N_f \geq 0$
$2 \begin{array}{ c } \hline \square \\ \hline \end{array} + N_f \square$	$\sim 2N$	N	$N_f \geq 0$
$3 \begin{array}{ c } \hline \square \\ \hline \end{array}$	$\sim 3N$	N	.

## SO(N) theories

Theory	$\beta_{\text{matter}}$	dense spectrum	$N_f$
$1 \square\square + 2N_f \square$	$\sim N$	Y	$N_f \geq 1$
$1 \begin{array}{ c } \hline \square \\ \hline \end{array} + 2N_f \square$	$\sim N$	Y	$N_f \geq 4$
$2 \square\square + 2N_f \square$	$\sim 2N$	N	$N_f \geq 0$
$1 \square\square + 1 \begin{array}{ c } \hline \square \\ \hline \end{array} + 2N_f \square$	$\sim 2N$	N	$N_f \geq 0$
$2 \begin{array}{ c } \hline \square \\ \hline \end{array} + 2N_f \square$	$\sim 2N$	N	$N_f \geq 0$
$2 \square\square + 1 \begin{array}{ c } \hline \square \\ \hline \end{array} + 2N_f \square$	$\sim 2N$	N	$0 \leq N_f \leq 2$
$1 \square\square + 2 \begin{array}{ c } \hline \square \\ \hline \end{array} + 2N_f \square$	$\sim 2N$	N	$0 \leq N_f \leq 4$
$3 \begin{array}{ c } \hline \square \\ \hline \end{array} + 2N_f \square$	$\sim 3N$	N	$N_f \leq 6$
$3 \square\square$	$\sim 3N$	N	.

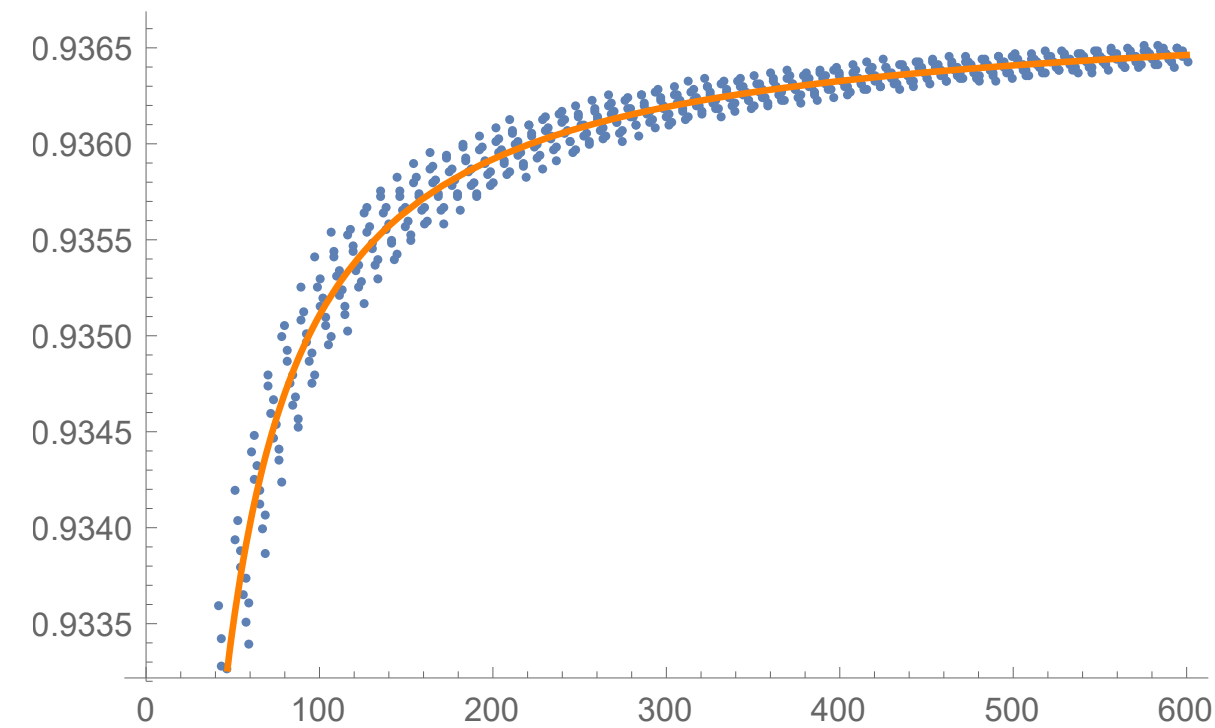
## Sp(N) theories

←  $\mathcal{N}=2$  SCFT for  $N_f = 0$

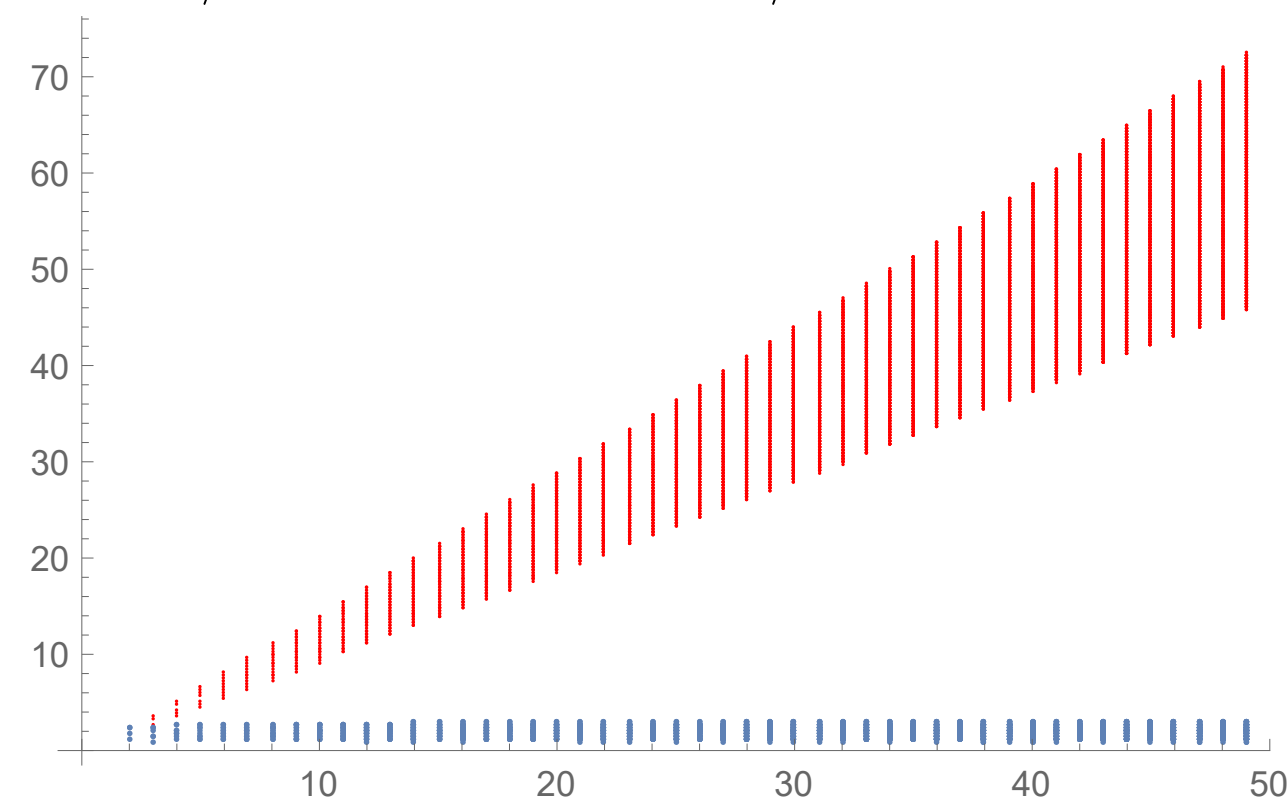
# Feature 3: Multiple bands

eg)  $SU(N) + 1 \text{ adj} + N_f=2$

[Agarwal, Lee, JS]



**Figure 6:** Plot of  $a/c$  vs  $N$  for the  $SU(N)$  theory with 1 adjoint and  $N_f = 2$ . The orange curve fits the plot with  $a/c \sim 0.936734 - 0.162684/N$ .



**Figure 8:** Dimensions of single-trace gauge-invariant operators including baryons in  $SU(N) + 1 \text{ Adj} + 2 (\square + \bar{\square})$  theory. The baryons (red) form another band above the band of Coulomb branch operators and mesons.

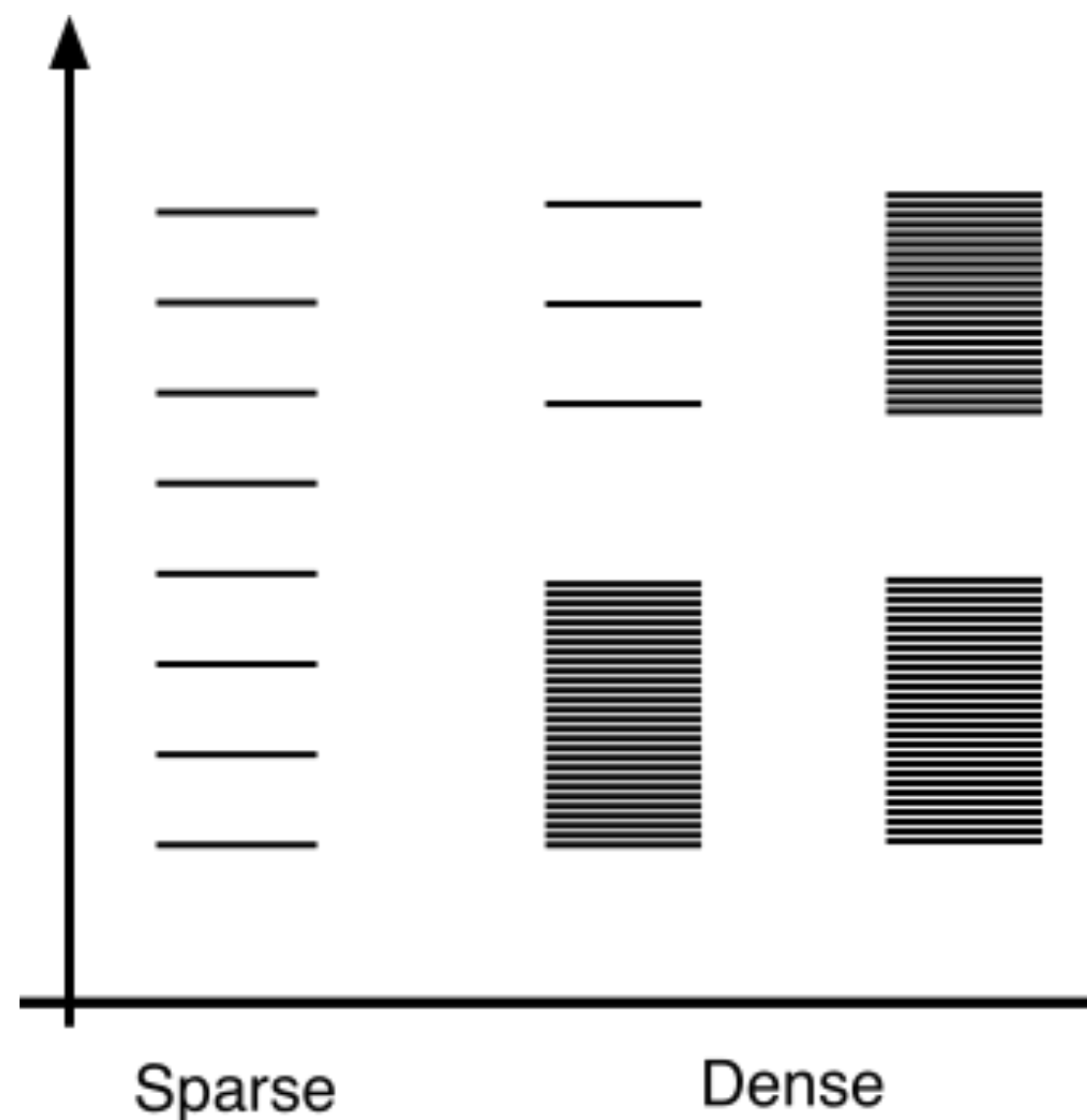
The ratio of central charges  $a/c$  does not go to 1.

We see the **secondary band** of size  $O(N)$ . They are formed by ‘baryons’.

- ‘baryon’:  $Q(\Phi Q)(\Phi^2 Q) \dots (\Phi^{N-1} Q)$
- ‘anti-baryon’:  $\tilde{Q}(\Phi \tilde{Q})(\Phi^2 \tilde{Q}) \dots (\Phi^{N-1} \tilde{Q})$

Supersymmetric analog of ‘band’ theory?

# Sparse vs Dense spectrum



Out of 35 classes of all possible large  $N$  gauge theories, 8 of them have **dense spectrum** and the rest have sparse spectrum.

Sparse: The gap is  $O(1)$ .  $a = c$  at large  $N$ .

Dense: The gap is  $O(1/N)$ .  $a \neq c$  at large  $N$ .

$c - a$  can have either sign.

**No universality!**

Can we have 4d CFTs with  $a = c$  even at finite  $N$ ? (with  $\mathcal{N}=0, 1, 2$  SUSY)

\* $\mathcal{N}=3, 4$  SCFTs *must* have  $a=c$ .



# $\mathcal{N}=2$ SCFTs with $a = c$ (and beyond)

[Kang-Lawrie-JS]

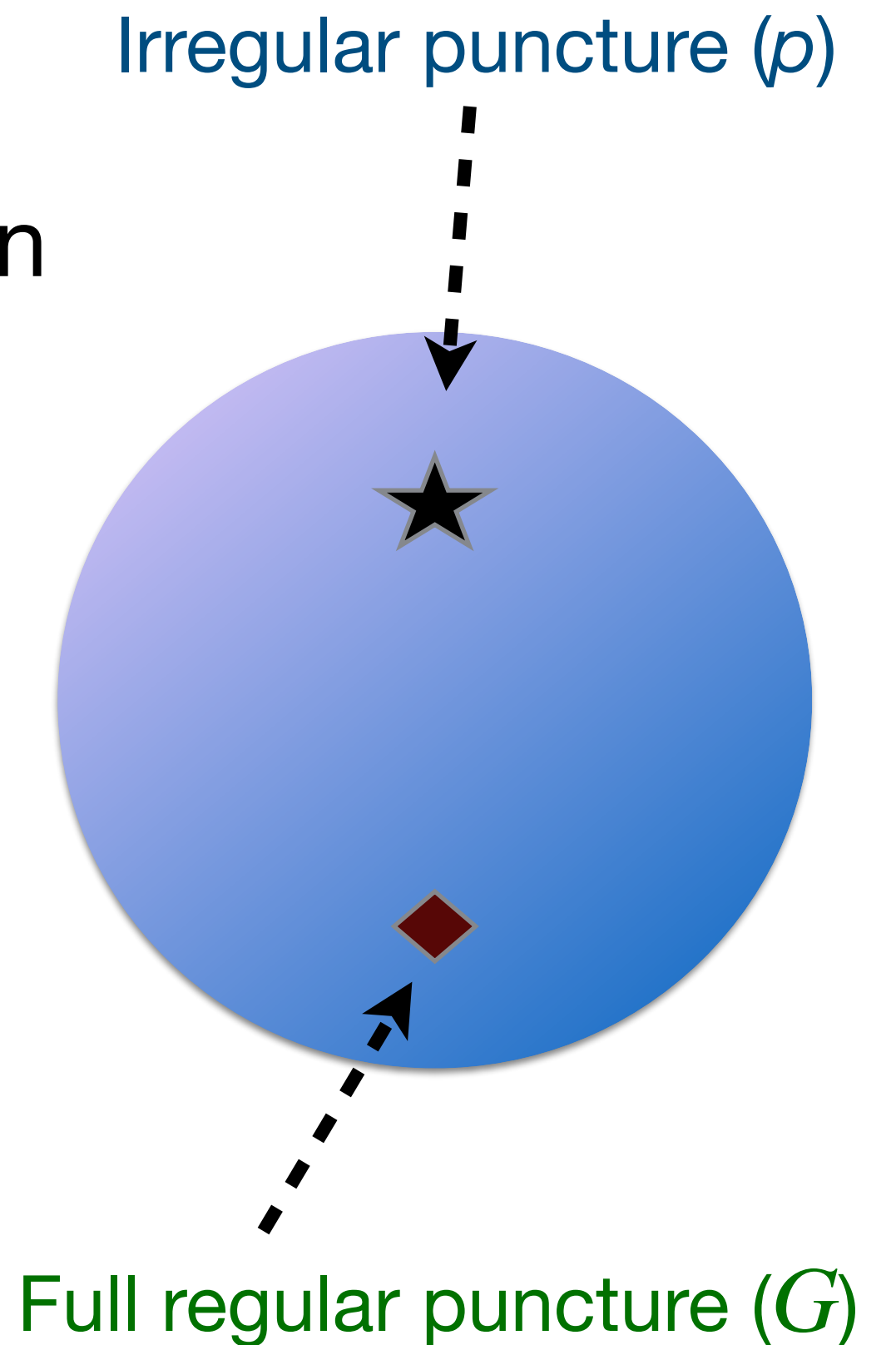
- There exists **genuinely**  $\mathcal{N}=2$  SCFTs with  $a = c$  (exact in N)!
- $\hat{\Gamma}(G)$  theory labelled by two ADE Lie algebras  $\Gamma, G$ .
  - $G$  labels the ‘gauge group’ and  $\Gamma$  labels the shape of the ‘quiver’.
  - Ingredients:
    - $\mathcal{D}_p[G]$  Argyres-Douglas type theories. [Cecotti-Del Zotto]
    - $(G, G)$  conformal matter theories. [Del Zotto-Heckman-Tomasiello-Vafa]  
[Ohmori-Shimizu-Tachikawa-Yonekura]
    - Gauge the diagonal  $G$ . It is a non-Lagrangian theory in general.
- For  $\Gamma = D_4, E_6, E_7, E_8$  and some special choice of  $G$ ,  $\hat{\Gamma}(G)$  theory has  $a = c$ .  
These choices do not involve conformal matter. ( $a \neq c$  for other choices)

# $\mathcal{D}_p[G]$ theory

[Cecotti-Del Zotto]  
[Cecotti-Del Zotto-Giacomelli]  
[Xie][Wang-Xie]

- It is a 4d  $\mathcal{N}=2$  SCFT (Argyres-Douglas type) with **flavor symmetry**  $G$  (or larger).
- It can be realized as the 6d  $\mathcal{N}=(2, 0)$  theory of type  $G$  compactified on a **sphere** with one **irregular puncture** ( $p$ ) and one **full regular puncture** (flavor  $G$ ).
- The flavor symmetry is **exactly**  $G$  for *some choice* of  $p$ , when the irregular puncture does not possess extra flavor symmetry.

- The flavor central charge for  $G$ : 
$$k_G = \frac{2(p-1)}{p} h_G^\vee$$



$G$	$SU(N)$	$SO(2N)$	$E_6$	$E_7$	$E_8$
No additional symmetry	$(p, N) = 1$	$p \notin 2\mathbb{Z}_{>0}$	$p \notin 3\mathbb{Z}_{>0}$	$p \notin 2\mathbb{Z}_{>0}$	$p \notin 30\mathbb{Z}_{>0}$

# Gauging $\mathcal{D}_p[G]$ theories

[Cecotti, Vafa]  
 [Cecotti, Del Zotto, Giacomelli]  
 [Closset, Giacomelli, Schafer-Nameki, Wang]  
 [Kang-Lawrie-JS]

- In order to gauge the flavor and obtain SCFT, the 1-loop beta function for the gauge group should vanish:

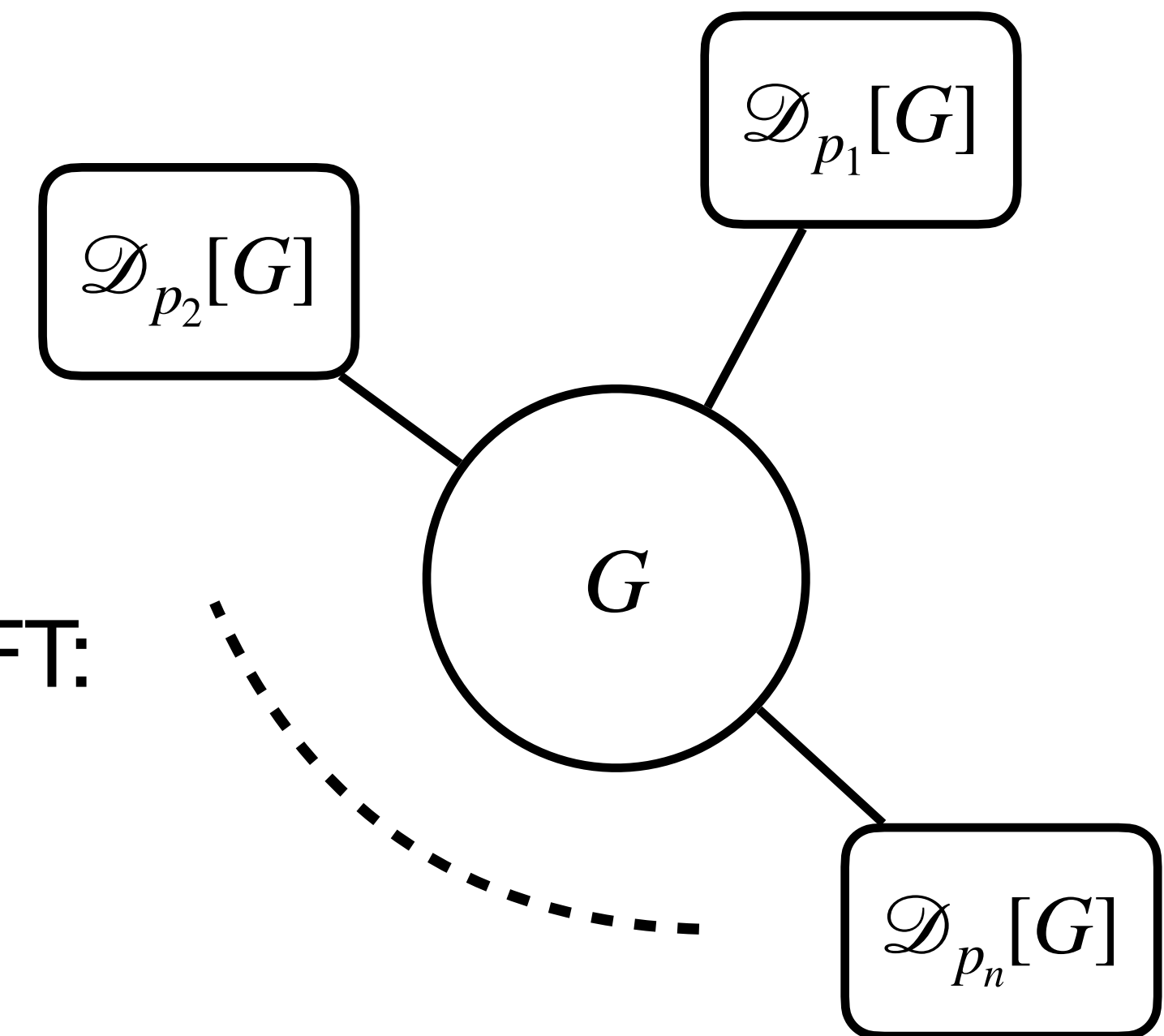
$$\beta_G = 0 \quad \Leftrightarrow \quad \sum_i k_i = 4h_G^\vee$$

flavor central charges  $k_i$ : “matter” contribution to the beta function.

- Consider gluing a number of  $\mathcal{D}_p[G]$  theories to form  $\mathcal{N}=2$  SCFT:

$$\sum_{i=1}^n \frac{2(p_i - 1)}{p_i} h_G^\vee = 4h_G^\vee \quad \rightarrow \quad \sum_{i=1}^n \frac{1}{p_i} = n - 2$$

- Only 4 non-trivial solutions:** (2, 2, 2, 2), (3, 3, 3), (2, 4, 4), (2, 3, 6)



# $\hat{\Gamma}(G)$ theory with $\Gamma = D_4, E_6, E_7, E_8$

[Kang-Lawrie-JS]

$(p_1, p_2, p_3, p_4)$	$\hat{\Gamma}(G)$	Quivers via gauging $\mathcal{D}_p(G)$ s	$a = c$
$(2, 2, 2, 2)$	$\hat{D}_4(G)$	$  \begin{array}{c}  \mathcal{D}_2(G) \\    \\  \mathcal{D}_2(G) - (G) - \mathcal{D}_2(G) \\    \\  \mathcal{D}_2(G)  \end{array}  $	$\frac{1}{2} \dim(G)$
$(1, 3, 3, 3)$	$\hat{E}_6(G)$	$  \begin{array}{c}  \mathcal{D}_3(G) \\    \\  \mathcal{D}_3(G) - (G) - \mathcal{D}_3(G) \\    \\  \mathcal{D}_3(G)  \end{array}  $	$\frac{2}{3} \dim(G)$
$(1, 2, 4, 4)$	$\hat{E}_7(G)$	$  \begin{array}{c}  \mathcal{D}_2(G) \\    \\  \mathcal{D}_4(G) - (G) - \mathcal{D}_4(G) \\    \\  \mathcal{D}_2(G)  \end{array}  $	$\frac{3}{4} \dim(G)$
$(1, 2, 3, 6)$	$\hat{E}_8(G)$	$  \begin{array}{c}  \mathcal{D}_2(G) \\    \\  \mathcal{D}_3(G) - (G) - \mathcal{D}_6(G) \\    \\  \mathcal{D}_2(G)  \end{array}  $	$\frac{5}{6} \dim(G)$

$a = c$  is obtained when the largest comark  $\alpha_\Gamma$  of  $\Gamma$  satisfies

$$\gcd(h_G^\vee, \alpha_\Gamma) = 1 \implies a = c.$$

$$\alpha_{D_4} = 2, \alpha_{E_6} = 3, \alpha_{E_7} = 4, \alpha_{E_8} = 6.$$

The  $\hat{\Gamma}(G)$  theory with  $a = c$  has **no flavor symmetry**.



# $\mathcal{N}=2$ SCFTs with $a = c$

- Some of these theories have class-S realization
  - $\hat{E}_6(SU(2)) = (A_2, D_4)$ :
    - Coulomb branch op:  $\{4/3, 4/3, 4/3, 2\}$
  - $\hat{E}_7(SU(3)) = E_6^{12}[4]$
  - $\hat{E}_8(SU(5)) = E_8^{30}[6]$
  - Most of  $\hat{\Gamma}(G)$  theories are not found in class-S.
- They all have 1 exactly marginal coupling.
- They all have center 1-form symmetry  $Z(G)$ .

$\hat{\Gamma}(G)$	$a = c$
$\hat{D}_4(SU(2\ell + 1))$	$2\ell(\ell + 1)$
$\hat{E}_6(SU(3\ell \pm 1))$	$2\ell(3\ell \pm 2)$
$\hat{E}_6(SO(6\ell))$	$2\ell(6\ell + 1)$
$\hat{E}_6(SO(6\ell + 4))$	$2(2\ell + 1)(3\ell + 2)$
$\hat{E}_7(SU(4\ell \pm 1))$	$6\ell(2\ell \pm 1)$
$\hat{E}_8(SU(6\ell \pm 1))$	$10\ell(3\ell \pm 1)$

**The full list of  $a = c$  theories in  $\hat{\Gamma}(G)$**

# Schur index for $\Gamma = D_4, E_6, E_7, E_8$

- For the  $a = c$  theories we consider, the relevant  $\mathcal{D}_p[G]$  theories **do not have additional flavor symmetry** besides  $G$ . For such case, **a concise expression for the Schur index** is known:

$$I_{\mathcal{D}_p(G)}(q, \vec{z}) = \text{PE} \left[ \frac{q - q^p}{(1 - q)(1 - q^p)} \chi_{\text{adj}}^G(\vec{z}) \right]$$

[JS-Xie-Yan]  
[Kac-Wakimoto]

- From this, we obtain a neat expression of the Schur index for the  $\hat{\Gamma}(G)$  theory as:

$$I_{\hat{\Gamma}(G)}(q) = \int [d\vec{z}] \text{PE} \left[ \frac{q + q^{\alpha_\Gamma - 1} - 2q^{\alpha_\Gamma}}{(1 - q)(1 - q^{\alpha_\Gamma})} \chi_{\text{adj}}^G(\vec{z}) \right]$$

- For the  $\hat{D}_4(SU(2\ell + 1))$  theory, we find the index can be written in terms of MacMahon's generalized 'sum-of-divisor' function which is **quasi-modular**:

$$I_{\hat{D}_4(SU(2k+1))}(q) = q^{-k(k+1)} A_k(q^2)$$

$$I_{SU(2k+1)}^{\mathcal{N}=4}(q) = q^{-\frac{k(k+1)}{2}} A_k(q)$$

$$A_k(q) = \sum_{0 < m_1 < m_2 < \dots < m_k} \frac{q^{m_1 + \dots + m_k}}{(1 - q^{m_1})^2 \dots (1 - q^{m_k})^2}$$

# $\mathcal{N}=4$ SYM and $\hat{\Gamma}(G)$ theory

- The Schur index of  $\hat{\Gamma}(G)$  theory is **identical to that of the  $\mathcal{N}=4$  SYM** upon rescaling!

$$I_{\hat{\Gamma}(G)}(q) = I_G^{\mathcal{N}=4}(q^{\alpha_\Gamma}; q^{\alpha_\Gamma/2-1})$$

- This relation **holds beyond  $a = c$  theories**:  
 $\hat{\Gamma}(SU(N))$  with  $\gcd(\alpha_\Gamma, N) = 1$ ,  
 $\hat{E}_6(SO(2N))$ ,  $\hat{D}_4(E_6)$ ,  $\hat{E}_6(E_7)$ ,  $\hat{E}_7(E_6)$ ,  
 $\hat{D}_4(E_8)$ ,  $\hat{E}_6(E_8)$ ,  $\hat{E}_7(E_8)$ ,  $\hat{E}_8(E_8)$ .

- The  $SU(N)$  case was found earlier by [\[Buican-Nishinaka\]](#) and showed that there is an isomorphism between **associated VOAs** as a graded vector space.

- More connections to  $\mathcal{N}=4$  SYM:
  - 1 exactly marginal gauge coupling (S-duality?)
  - 1-form center symmetry  $Z(G)$ .



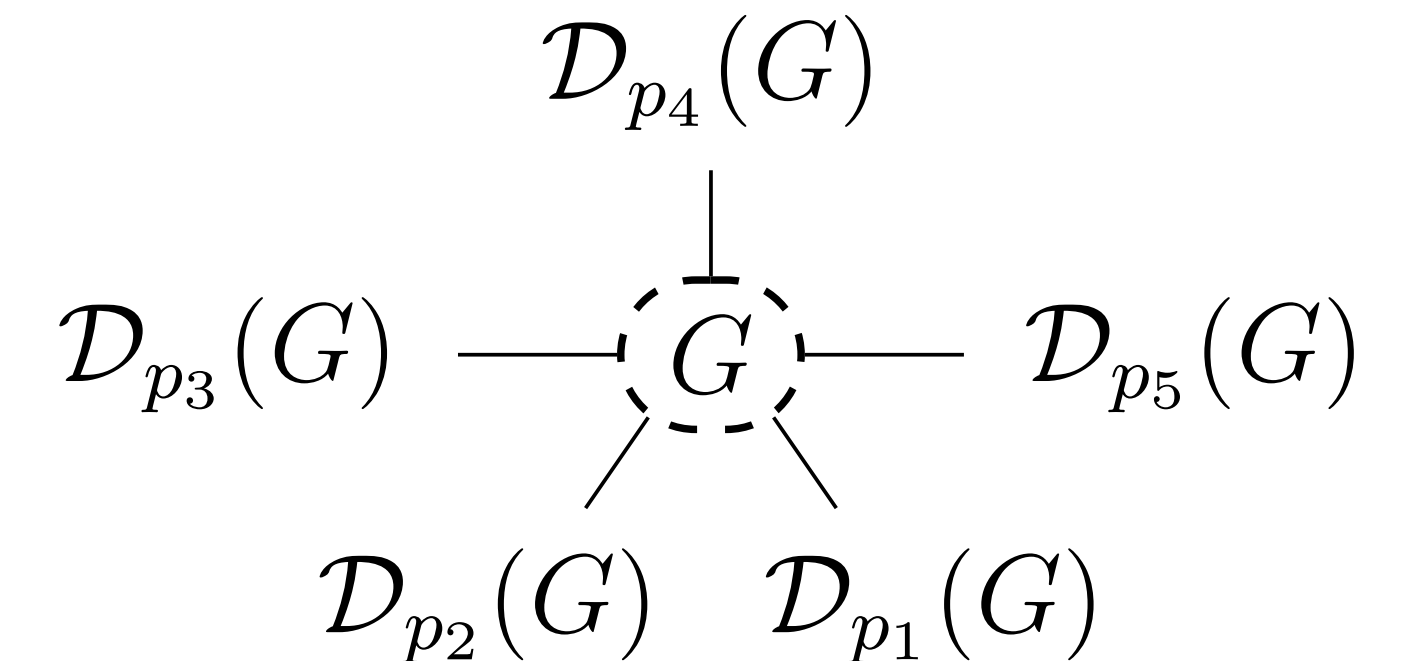
# Generalization to $\mathcal{N}=1$ SCFTs

[Kang-Lawrie-Lee-JS, to appear]

- Consider a number of  $\mathcal{D}_p[G]$  theories gauged via  $\mathcal{N}=1$  vector multiplet.
- It modifies the condition to be a CFT in the IR, since the theory now **RG flows**. From **asymptotic freedom** bound:

$$\sum_{i=1}^N \frac{2(p_i - 1)}{p_i} h_G^\vee < 6h_G^\vee \quad \sum_{i=1}^N \frac{1}{p_i} > N - 3$$

- The IR SCFT has a number of U(1) **flavor symmetry** originates from broken R-symmetry of each block.



$p_1$	$p_2$	$p_3$	$p_4$	$p_5$	$p_1$	$p_2$	$p_3$	$p_4$	$p_5$	$p_1$	$p_2$	$p_3$	$p_4$	$p_5$
1	1	1	1	$p_5$	1	2	3	10	$\leq 14$	1	3	3	3	$p_4$
1	1	1	$p_4$	$p_5$	1	2	3	11	$\leq 13$	1	3	3	4	$\leq 11$
1	1	$p_3$	$p_4$	$p_5$	1	2	4	4	$p_5$	1	3	3	5	$\leq 7$
1	2	2	$p_4$	$p_5$	1	2	4	5	$\leq 19$	1	3	4	4	$\leq 5$
1	2	3	$\leq 6$	$p_5$	1	2	4	6	$\leq 11$	2	2	2	2	$p_5$
1	2	3	7	$\leq 41$	1	2	4	7	$\leq 9$	2	2	2	3	3
1	2	3	8	$\leq 23$	1	2	5	5	$\leq 9$	2	2	2	3	4
1	2	3	9	$\leq 17$	1	2	5	6	$\leq 7$	2	2	2	3	5

Tuples of  $(p_i)$ 's satisfying the asymptotic freedom bound.

# Unitarity at the fixed point

- Besides checking asymptotic freedom, we should also make sure that the IR theory is a valid CFT.

**Unitarity bound:**  $\Delta \geq 1 \leftrightarrow R \geq \frac{2}{3}$  for the chiral operators.

- For a SCFT, we need to deduce superconformal R-charges to check unitarity. It can be done using **a-maximization**: [Intriligator-Wecht]

- Consider a linear combination of the U(1) charges  $R_{IR} = R_{UV} + \epsilon_i F_i$  and then maximize the trial a-function w.r.t to  $\epsilon$ :

$$a = \frac{3}{32}(3\text{Tr}R^3 - \text{Tr}R), \quad \frac{\partial a_{\text{trial}}}{\partial R} = 0, \quad \frac{\partial^2 a_{\text{trial}}}{\partial R^2} < 0$$

- If all the (BPS) operators satisfy the bound, we are good to go. (\*Not always sufficient!)

\*[Maruyoshi-Nardoni-JS]

# Results:

We need to check:

$$-\frac{p_i + 1}{3(p_i - 1)} \leq \epsilon_i \leq \frac{1}{3(p_i + 1)}, \quad \epsilon_i + \epsilon_{j \neq i} \geq -1$$

$$\mathcal{D}_p(G) \text{ --- } \textcircled{G}$$

Gluing 1  $\mathcal{D}_p[G]$ : no SCFT

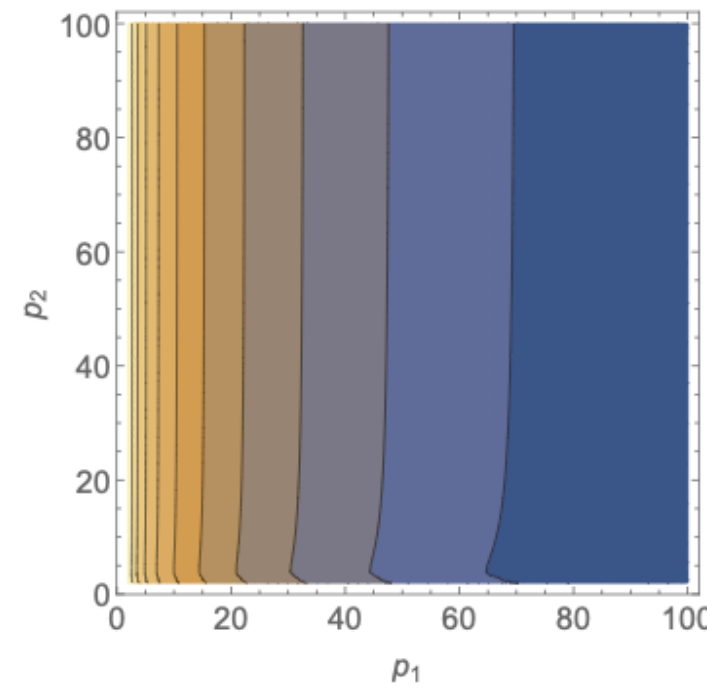
$$\mathcal{D}_{p_1}(G) \text{ --- } \textcircled{G} \text{ --- } \mathcal{D}_{p_2}(G)$$

Gluing 2  $\mathcal{D}_p[G]$ :  $p_1 \geq 3$  and  $p_2 \geq 3$

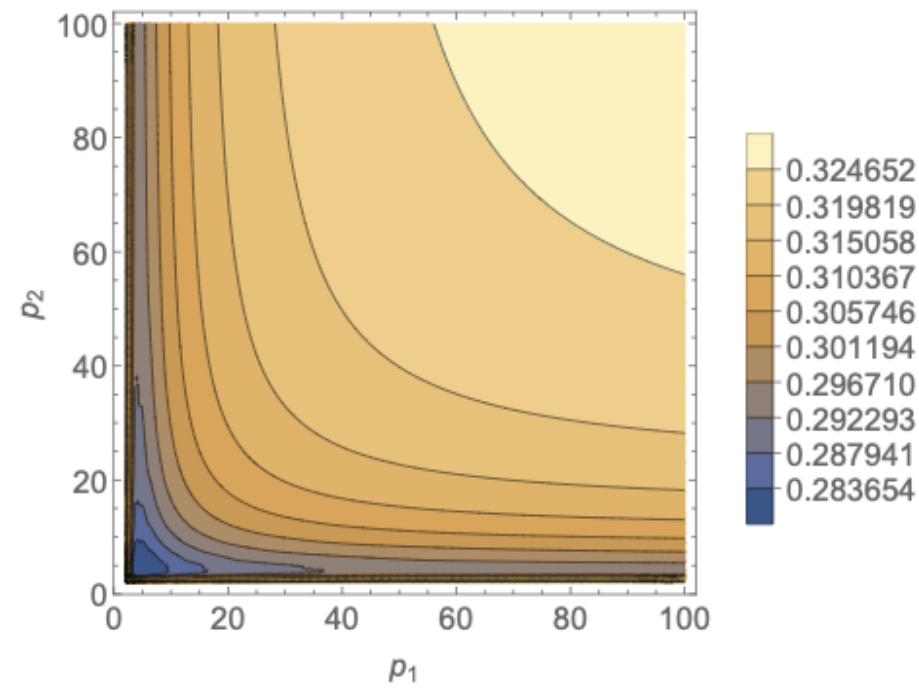
$$a = c = \frac{9p(p-2)}{64(p-1)} \dim(G) \quad . \text{ when } p_1 = p_2 = p$$

$$\begin{array}{c} \mathcal{D}_{p_3}(G) \\ | \\ \mathcal{D}_{p_1}(G) \text{ --- } \textcircled{G} \text{ --- } \mathcal{D}_{p_2}(G) \end{array}$$

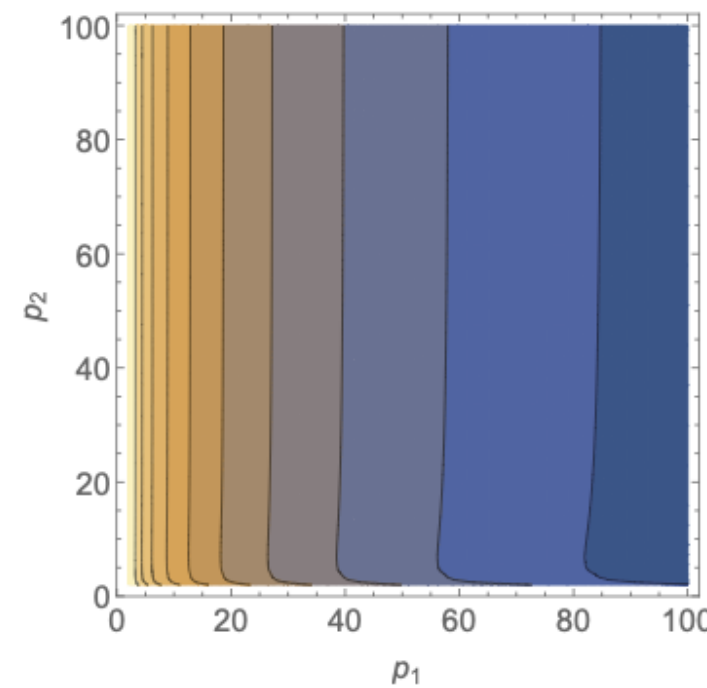
Gluing 3  $\mathcal{D}_p[G]$ : Need to check numerically.



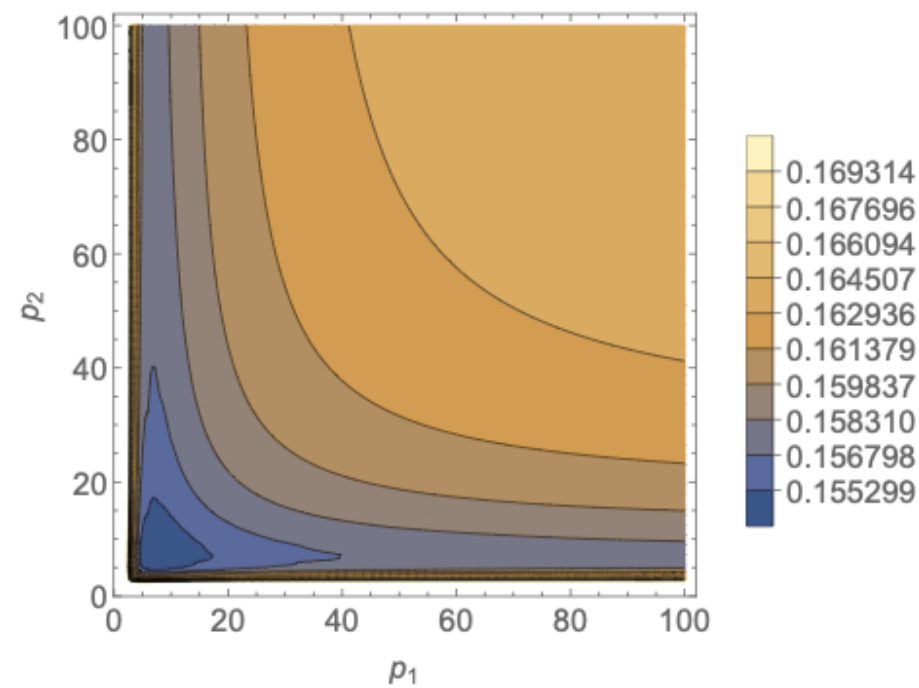
(a) Contour plot of  $-\epsilon_1$  for  $p_3 = 2$ .



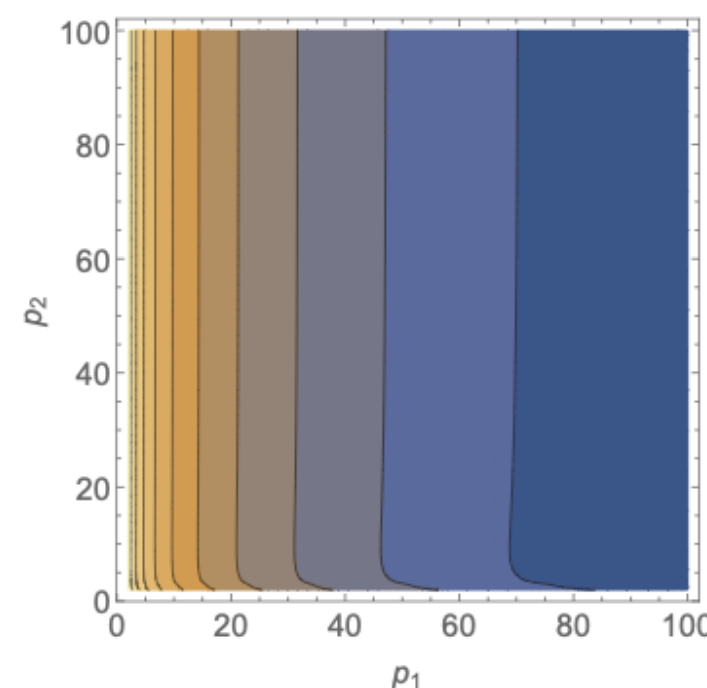
(b) Contour plot of  $-\epsilon_3$  for  $p_3 = 2$ .



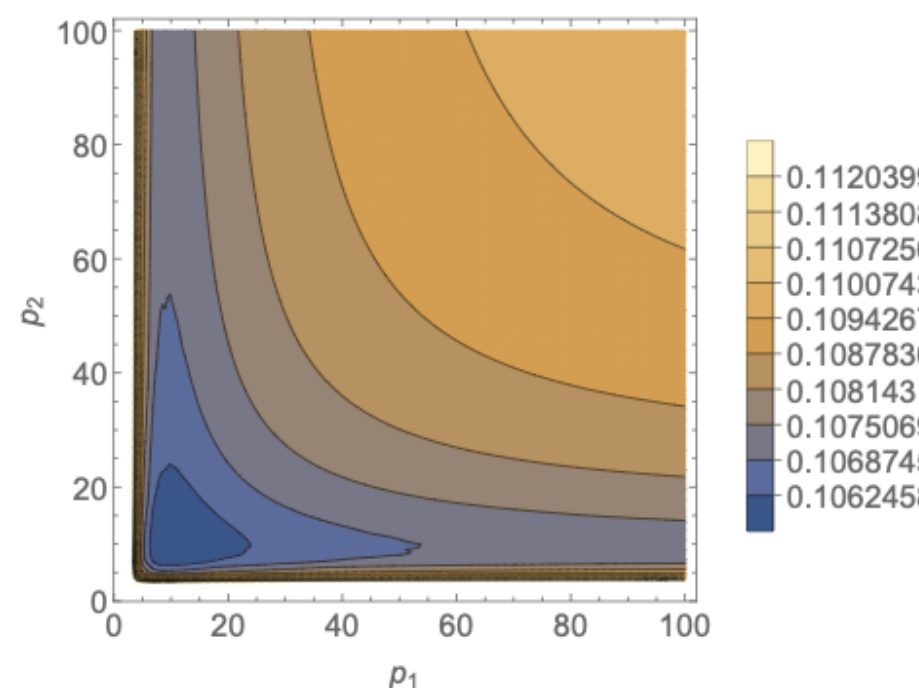
(c) Contour plot of  $-\epsilon_1$  for  $p_3 = 3$ .



(d) Contour plot of  $-\epsilon_3$  for  $p_3 = 3$ .



(e) Contour plot of  $-\epsilon_1$  for  $p_3 = 4$ .



(f) Contour plot of  $-\epsilon_3$  for  $p_3 = 4$ .

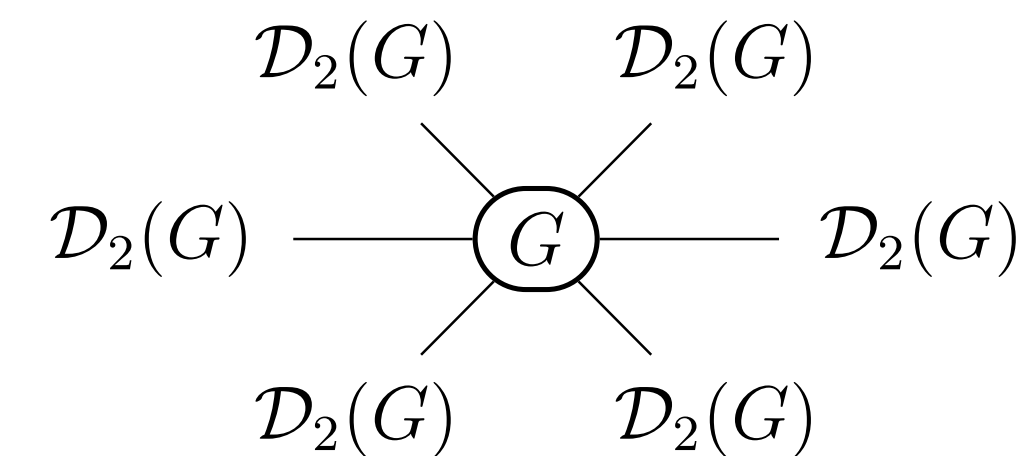
Figure 2.2: Contours plot of  $\epsilon_1$  and  $\epsilon_3$  in the  $(p_1, p_2)$  plane for  $p_3 = 2, 3, 4$ . They all satisfy the unitarity condition in equation (2.22).

Gluing 3  $\mathcal{D}_p[G]$ :  
no unitarity violations for generic  $p$ .

Gluing 4  $\mathcal{D}_p[G]$ :  
no unitarity violations for generic  $p$ .

Gluing 5  $\mathcal{D}_p[G]$ :  
no unitarity violations for generic  $p$ .

- Gluing 6  $\mathcal{D}_p[G]$ : “conformal gauging”
- vanishing beta function. It *does not flow*.
  - unless there is an *exactly marginal* operator, no non-trivial SCFT.
  - some of them are indeed non-trivial SCFT.



# Landscape of $\mathcal{N}=1$ SCFTs with $a = c$

- In addition, one can add 1 or 2 **adjoint chiral** multiplets.
- 1 adjoint: can attach up to 4  $\mathcal{D}_p[G]$  theories.

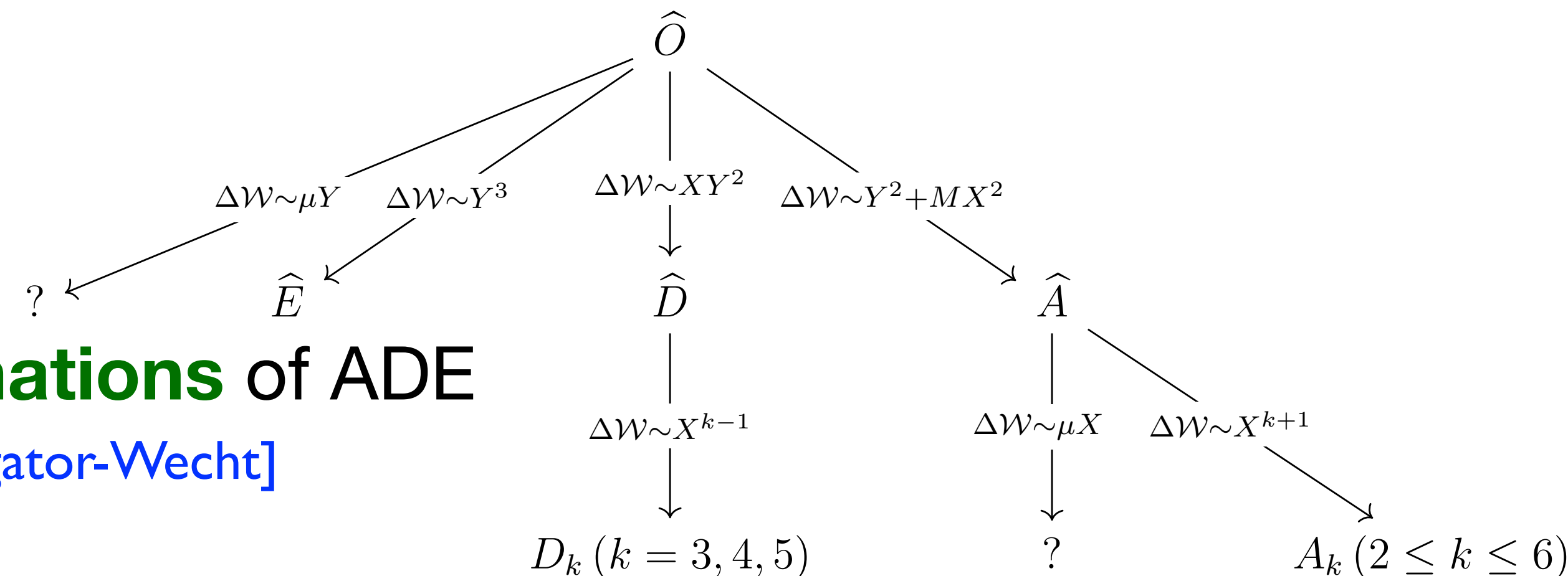
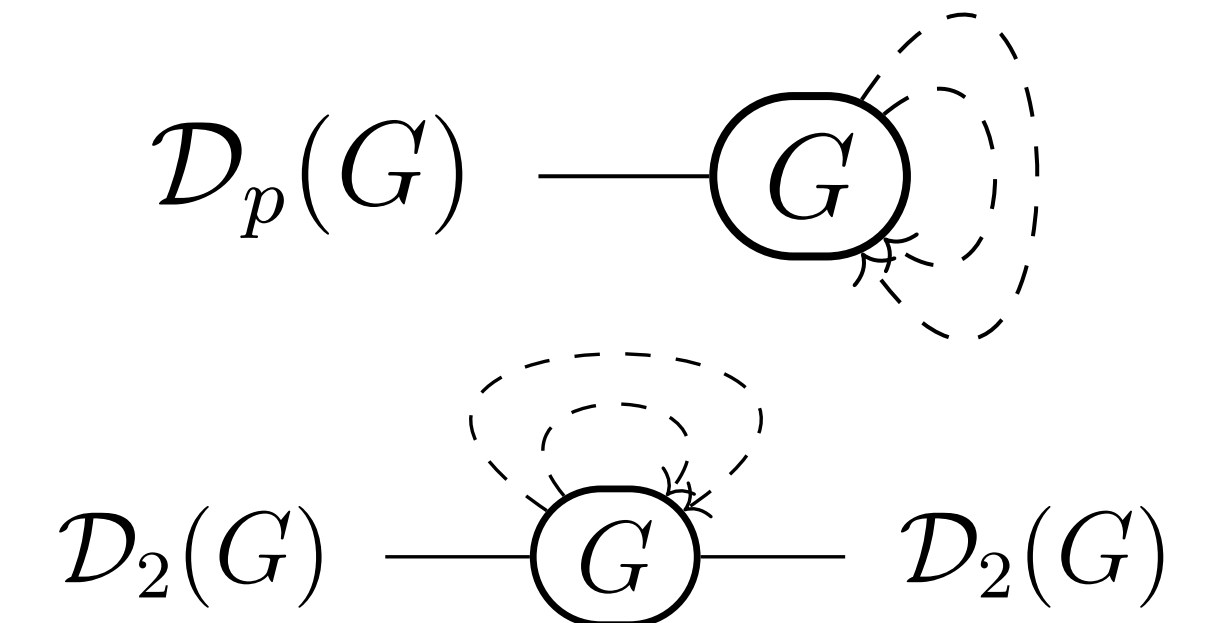
$$p_i = (p_1, p_2), (2, 2, p_3), (2, 3, \leq 6), (2, 4, 4), (3, 3, 3), (2, 2, 2, 2)$$

- 2 adjoints: One can even have zero  $\mathcal{D}_p[G]$  theories!

- **The simplest Lagrangian model** with  $a = c$ :  
 $\mathcal{N}=1$  gauge theory with 2 adjoints.

- Can attach up to 2  $\mathcal{D}_p[G]$ 's

- One can consider **superpotential deformations** of ADE type as in the case of adjoint SQCD. [Intriligator-Wecht]



**Conclusion**

# Summary & future direction

- **Conformal anomalies**  $a$  &  $c$  of 4d CFTs capture many interesting aspects of underlying theory. (entropy-viscosity ratio, density of states, black hole entropy, entanglement entropy)
- The **scaling behavior** of  $a$  &  $c$  in the large  $N$  gauge theory is **not universal**:  $c-a$  can have either signs,  $a \sim c \sim O(N^2)$  or  $O(N^1)$
- We have constructed **genuinely**  $\mathcal{N}=1, 2$  SCFTs with  $a = c$ , **exact in  $N$** . The ‘landscape’ of such theories is huge! What about  $\mathcal{N}=0$ ?
- Such  $\mathcal{N}=2$  SCFTs  $\hat{\Gamma}(G)$  share many properties with  $\mathcal{N}=4$  SYM. Especially, we find the Schur index to be almost identical upon rescaling:

$$I_{\hat{\Gamma}(G)}(q) = I_G^{\mathcal{N}=4}(q^{\alpha_\Gamma}, q^{\alpha_\Gamma/2-1})$$

**Why** such a relation holds?

- What is the **holographic dual** of such  $a = c$  theories? It should forbid particular type of corrections in SUGRA action without any symmetry constraints. How?

**Thank you!**