

# Free energy and defect $C$ -theorem in free theory

Yoshiki Sato

Tohoku U.

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based on

[arXiv:2101.02399](https://arxiv.org/abs/2101.02399) with T. Nishioka & [arXiv:2102.11468](https://arxiv.org/abs/2102.11468)

also [arXiv:1810.06995](https://arxiv.org/abs/1810.06995) with N. Kobayashi, T. Nishioka, K. Watanabe

# Introduction: Motivation

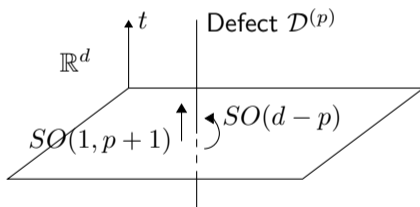
- Defect & Boundary appear in various areas and play important roles.
  - QFT: Wilson loop, 't Hooft loop
  - String Theory: D-brane, M-brane
- Due to a recent development of quantum information & condensed matter, importance of defects is again recognized.
  - I want to understand general properties of defects & boundary!!  
In particular, I focus on RG flow and  $C$ -theorem.
- It is difficult to treat defects & boundaries since they are non-local.
  - I focus on *conformal defect* which keeps enough symmetry.

# Introduction: What are DCFT and BCFT?

- Euclidean  $d$ -dim Conformal Field Theory (CFT) has  $SO(1, d + 1)$  symmetry.

When  $p$ -dim Defect exists, the allowed maximal symmetry is  $SO(1, p + 1) \times SO(d - p)$ .

→ The theory is called **Defect CFT (DCFT)**, and the Defect is called **Conformal Defect**.



- In particular, we can construct theories with boundary when  $p = d - 1$ .

→ This is called Boundary CFT (BCFT).

# Introduction: $C$ -theorem (without Boundary nor Defect)

- $C$ -theorem: There exists a monotonically decreasing function along the renormalization group,

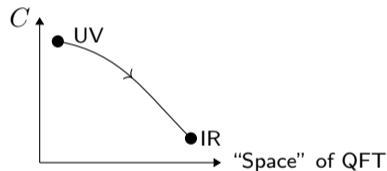
$$I_{\text{CFT}} + \lambda \int d^d x \sqrt{g} \mathcal{O}(x), \quad \Delta_{\mathcal{O}} < d.$$

The  $C$ -function counts an effective degree of freedom of the theory.

Weak  $C$ -theorem:

The value at UV is always larger than IR.

$$C_{\text{UV}} \geq C_{\text{IR}}.$$



- Conjecture (proved in  $d = 2, 3, 4$ ):

Free energy on sphere,  $\tilde{F} \equiv \sin(\pi d/2) \log Z[\mathbb{S}^d]$ , satisfies the weak  $C$ -theorem.

[Zamolodchikov '86, Cardy '88, Komargodski-Schwimmer '11, Myers-Sinha '10, Jefferis et al. '11, Klebanov-Pufu-Safdi '11,...]

# Motivation of our work

- We proposed **defect free energy** is a  $C$ -function. [Kobayashi-Nishioka-YS-Watanabe '18]

$$\tilde{D} \equiv \sin\left(\frac{\pi p}{2}\right) (F_{\text{DCFT}}[\mathbb{S}^d] - F_{\text{CFT}}[\mathbb{S}^d]) .$$

under the RG flow localising on the defect,

$$I = I_{\text{DCFT}} + \hat{\lambda} \int d^p \hat{x} \sqrt{\hat{g}} \hat{\mathcal{O}}(\hat{x}) .$$

Checked our conjecture using various setups in field theory & holography.

- I want to check the proposal in simple models, free theories. [Nishioka-YS '21, YS '21]

- Problems: How to impose a conformal boundary condition on  $\mathbb{S}^d$ ?

i.e. How to construct DCFT in the free scalar field?

→ Conformal map to  $\mathbb{H}^{p+1} \times \mathbb{S}^{q-1}$  ( $p$ : dim of defect,  $q = d - p$ )

We can use methods familiar in AdS/CFT.

# Conformally coupled scalar field on $\mathbb{H}^{p+1} \times \mathbb{S}^{q-1}$

- Scalar field on flat space: defect sits at  $y_i = 0$

$$ds^2 = dx_a^2 + dy_i^2, \quad (a = 1, \dots, p, i = p+1, \dots, d)$$

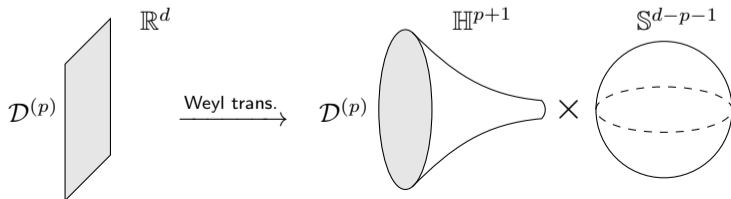
- Conformal map to  $\mathbb{H}^{p+1} \times \mathbb{S}^{q-1}$  ( $q = d - p$ : co-dimension of the defect):

$$ds^2 = dx_a^2 + dz^2 + z^2 ds_{\mathbb{S}^{q-1}}^2 = z^2 \left( \frac{dx_a^2 + dz^2}{z^2} + ds_{\mathbb{S}^{q-1}}^2 \right)$$

Now, the defect sits at  $z = 0$  (i.e. boundary of  $\mathbb{H}^{p+1}$ ).

We can regard theories on  $\mathbb{H}^{p+1} \times \mathbb{S}^{q-1}$  as  $\text{DCFT}_d$  with  $p$ -dim defect!!

(if we impose a suitable boundary condition.)



# Boundary condition

- To preserve conformal symmetry, we need to impose a boundary condition at  $z = 0$ .

- Action on  $\mathbb{H}^{p+1} \times \mathbb{S}^{q-1}$

$$I = \frac{1}{2} \int d^d x \sqrt{g} [(\partial_\mu \phi)^2 + \xi \mathcal{R} \phi^2], \quad \xi = \frac{d-2}{4(d-1)}, \quad \mathcal{R} = \frac{(q-1)(q-2) - p(p+1)}{R^2}$$

- Decomposition:  $\phi(z, x, \theta) = \sum_{\ell} \phi_{\mathbb{H}^{p+1}}(z, x) Y_{\ell, \mathbb{S}^{q-1}}(\theta)$

$$\text{with spherical harmonics } Y_{\ell, \mathbb{S}^{q-1}}: -\nabla_{\mathbb{S}^{q-1}}^2 Y_{\ell, \mathbb{S}^{q-1}}(\theta) = \frac{\ell(\ell + q - 2)}{R^2} Y_{\ell, \mathbb{S}^{q-1}}(\theta)$$

- Asymptotic behaviour of  $\phi_{\mathbb{H}^{p+1}}$ :

$$\implies \phi_{\mathbb{H}^{p+1}} \sim c_+^\ell z^{\Delta_+^\ell} + c_-^\ell z^{\Delta_-^\ell}, \quad \left[ \Delta_\pm^\ell = \frac{p}{2} \pm \left| \ell + \frac{q-2}{2} \right| \quad (q \geq 2), \quad \Delta_\pm = \frac{p}{2} \pm \frac{1}{2} \quad (q = 1) \right]$$

If we set  $c_+^\ell = 0$  or  $c_-^\ell = 0$ , the theory preserves conformal symmetry.

- We want to consider unitary theories.

- Unitarity bound:  $\Delta \geq \frac{p}{2} - 1$  ( $p \geq 2$ ), or  $\Delta \geq 0$  ( $p < 2$ )  $\left( \Delta_{\pm}^{\ell} = \frac{p}{2} \pm \left| \ell + \frac{q-2}{2} \right| \right)$

$\Delta_{+}^{\ell}$  always satisfy unitarity bound.  $\rightarrow$  **Dirichlet b.c.**

$\Delta_{-}^{\ell}$  satisfy unitarity bound if  $\ell \leq 2 - \frac{q}{2}$ .

- $q = 2$ :

$$\text{Nontrivial b. c. } \Delta_{N1} = \begin{cases} \Delta_{+}^{\ell \neq 1} \\ \Delta_{-}^{\ell = 1} \end{cases}, \quad \Delta_{N2} = \begin{cases} \Delta_{+}^{\ell \neq \pm 1} \\ \Delta_{-}^{\ell = \pm 1} \end{cases} \rightarrow \text{Neumann b.c..}$$

- $q = 3, 4$ :

$$\text{Nontrivial b. c. } \Delta_N = \begin{cases} \Delta_{+}^{\ell \neq 0} \\ \Delta_{-}^{\ell = 0} \end{cases} \rightarrow \text{Neumann b.c..}$$

- Consistent with a classification by [Lauria-Liendo-van Rees-Zhao '20].



# Defect $C$ -theorem

- We want to check our proposed defect  $C$ -theorem in the free scalar.
- We assume that the difference of the  $C$ -function is not changed under the Weyl transformation,

$$\tilde{D}_{\text{UV}}[\mathbb{S}^d] - \tilde{D}_{\text{IR}}[\mathbb{S}^d] = \sin\left(\frac{\pi p}{2}\right) (F_{\text{UV}}[\mathbb{H}^{p+1} \times \mathbb{S}^{q-1}] - F_{\text{IR}}[\mathbb{H}^{p+1} \times \mathbb{S}^{q-1}])$$

UV = Neumann b.c., IR = Dirichlet b.c.

- We compute  $F_{\text{UV}}[\mathbb{H}^{p+1} \times \mathbb{S}^{q-1}]$  and  $F_{\text{IR}}[\mathbb{H}^{p+1} \times \mathbb{S}^{q-1}]$  explicitly.
- Double trace deformation triggers RG flows
  - ①  $q = 1, 3, 4$ :  $\Delta_{\text{N}} \rightarrow \Delta_{\text{D}}$
  - ②  $q = 2$ :  $\Delta_{\text{N}2} \rightarrow \Delta_{\text{N}1}$  or  $\Delta_{\text{N}1} \rightarrow \Delta_{\text{D}}$

# $q = 1$ , Free energy on $\mathbb{H}^d$

- Free energy of a conformally coupled scalar on  $\mathbb{H}^d$ :

$$F[\mathbb{H}^d] = \frac{1}{2} \text{tr} \log \left[ -\tilde{\Lambda}^{-2} \left( \nabla_{\mathbb{H}^d}^2 + \frac{d(d-2)}{4R^2} \right) \right] = \frac{1}{2} \int_0^\infty d\omega \mu^{(d)}(\omega) \log \left( \frac{\omega^2 + \nu^2}{\tilde{\Lambda}^2 R^2} \right)$$

- $\tilde{\Lambda}$ : UV cutoff scale introduced to make the integral dimensionless

- $-\nabla_{\mathbb{H}^d}^2 \phi_\omega = \left( \frac{\omega^2}{R^2} + \left( \frac{d-1}{2R} \right)^2 \right) \phi_\omega$

- $\Delta(\Delta - d + 1) = -\frac{d(d-2)}{4}, \quad \nu = \Delta - \frac{d-1}{2}$

- Plancherel measure  $\mu^{(d)}(\omega)$  on  $\mathbb{H}^d$  of unit radius:

$$\mu^{(d)}(\omega) = \frac{1}{\Gamma(d)} \begin{cases} (-1)^{\frac{d-1}{2}} \frac{2}{\pi} \log(R/\epsilon) \prod_{j=0}^{\frac{d-3}{2}} (\omega^2 + j^2) & d : \text{odd} \\ (-1)^{\frac{d}{2}} \omega \tanh(\pi\omega) \prod_{j=\frac{1}{2}}^{\frac{d-3}{2}} (\omega^2 + j^2) & d : \text{even} \end{cases}$$

# Comments on boundary condition

- Free energy of a conformally coupled scalar on  $\mathbb{H}^d$ :

$$F[\mathbb{H}^d] = \frac{1}{2} \int_0^\infty d\omega \mu^{(d)}(\omega) \log \left( \frac{\omega^2 + \nu^2}{\tilde{\Lambda}^2 R^2} \right), \quad \nu = \Delta - \frac{d-1}{2}$$

- The square integrability condition is implicitly imposed.

→ This expression is valid for **Dirichlet b.c.**  $\Delta_+ = \frac{d}{2}$  (or  $\nu = \frac{1}{2}$ ).

- Neumann b.c.  $\Delta_- = \frac{d}{2} - 1$  (or  $\nu = -\frac{1}{2}$ ) cannot be obtained from this expression directly.

The integral expression of the free energy does not depend on the sign of  $\nu$ .

→ We compute  $F[\mathbb{H}^d]$  as a function of  $\nu$  and analytically continue to negative  $\nu$ .

- To compute the free energy, we use a zeta function regularisation.

- Odd  $d$ :

$$F_{\Delta_+}[\mathbb{H}^d] - F_{\Delta_-}[\mathbb{H}^d] = \log\left(\frac{\epsilon}{R}\right) \times \begin{cases} -\frac{1}{24} & d = 3 \\ \frac{17}{11520} & d = 5 \\ -\frac{367}{1935360} & d = 7 \\ \frac{27859}{928972800} & d = 9 \end{cases}$$

- Even  $d$ :

$$F_{\Delta_+}[\mathbb{H}^d] - F_{\Delta_-}[\mathbb{H}^d] = \begin{cases} \text{diverge (due to zero mode)} & d = 2 \\ -\frac{\zeta(3)}{8\pi^2} & d = 4 \\ \frac{\zeta(3)}{96\pi^2} + \frac{\zeta(5)}{32\pi^4} & d = 6 \\ -\frac{\zeta(3)}{720\pi^2} - \frac{\zeta(5)}{192\pi^4} - \frac{\zeta(7)}{128\pi^6} & d = 8 \end{cases}$$

- Monotonicity of the free energy is satisfied.

(need to multiply  $(-1)^{(d+1)/2}$  for odd  $d$  or  $(-1)^{d/2}$  for even  $d$ )

# Free energy on $\mathbb{H}^{p+1} \times \mathbb{S}^{q-1}$ and RG flow

- We can compute free energies on  $\mathbb{H}^{p+1} \times \mathbb{S}^{q-1}$  although technically complicated.

- RG flow

- $q = 2$ : nontrivial b. c.  $\Delta_{N1} = (\Delta_+^{\ell \neq 1}, \Delta_-^{\ell=1})$ ,  $\Delta_{N2} = (\Delta_+^{\ell \neq \pm 1}, \Delta_-^{\ell=\pm 1})$ .

RG flow from  $\Delta_{N1}$  to  $\Delta_+$ .

$$F_{\Delta_+}[\mathbb{H}^{p+1} \times \mathbb{S}^1] - F_{\Delta_{N1}}[\mathbb{H}^{p+1} \times \mathbb{S}^1] = F_{\ell=1,D}[\mathbb{H}^{p+1} \times \mathbb{S}^1] - F_{\ell=1,N}[\mathbb{H}^{p+1} \times \mathbb{S}^1] = -F[\mathbb{S}^p]$$

- $q = 3, 4$ : nontrivial b. c.  $\Delta_N = (\Delta_+^{\ell \neq 0}, \Delta_-^{\ell=0})$ .

RG flow from  $\Delta_N$  to  $\Delta_+$ ,

- $q = 3$ :  $F_{\Delta_+}[\mathbb{H}^{p+1} \times \mathbb{S}^2] - F_{\Delta_N}[\mathbb{H}^{p+1} \times \mathbb{S}^2] = F_{\Delta_+}[\mathbb{H}^{p+1}] - F_{\Delta_-}[\mathbb{H}^{p+1}]$ .

- $q = 4$ :  $F_{\Delta_+}[\mathbb{H}^{p+1} \times \mathbb{S}^3] - F_{\Delta_N}[\mathbb{H}^{p+1} \times \mathbb{S}^3] = -F[\mathbb{S}^p]$

- In all cases, the free energies decrease!!

# Summary

- We consider a conformally coupled scalar field theory on  $\mathbb{H}^{p+1} \times \mathbb{S}^{q-1}$ .  
→ With conformal boundary conditions, it can be regarded as defect CFT.
- We classified the allowed boundary condition on  $\mathbb{H}^{p+1} \times \mathbb{S}^{q-1}$ .  
For  $q = 1, 2, 3, 4$ , the nontrivial boundary condition is allowed.
- We consider RG flow triggered by a mass deformation on defect from the non-trivial b. c. (Neumann) to the trivial b. c. (Dirichlet), and we confirm a validity of our conjecture!
- Other direction
  - Free fermion [YS '21] (No non-trivial b. c. for free fields with spin  $\geq 1$ )
  - Monodromy defect [Giombi et al. '21]
- Future direction  
Proof of defect  $C$ -theorem using quantum information quantity

Thank you for your attention!